Main Street Capital CORP Form 4 October 31, 2016

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5 Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2 Jaguar Nama and Tiakar or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

10/14/2016

Stock

Smith Brent	t D.	Symbol	er Name and Ticker or Trading	Issuer (Check all applicable)				
		Main S	treet Capital CORP [MAIN]					
(Last)	(First) (I		of Earliest Transaction					
		`	Day/Year)	Director 10% Owner				
1300 POST	OAK BLVD., S	UITE 10/14/2	2016	X Officer (give title Other (specify below)				
800				CFO, Treasurer				
	(Street)	4. If Am	endment, Date Original	6. Individual or Joint/Group Filing(Check Applicable Line)				
		Filed(Mo	onth/Day/Year)					
				_X_ Form filed by One Reporting Person				
HOUSTON	I, TX 77056			Form filed by More than One Reporting Person				
(City)	(State)	(Zip) Tak	ole I - Non-Derivative Securities Ac	quired, Disposed of, or Beneficially Owned				
1.Title of	2. Transaction Date	2A. Deemed	3. 4. Securities Acquired	5. Amount of 6. 7. Nature of				
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)	Securities Ownership Indirect				
(Instr. 3)		any	Code (Instr. 3, 4 and 5)	Beneficially Form: Direct Beneficial				
		(Month/Day/Year)	(Instr. 8)	Owned (D) or Ownership				
				Following Indirect (I) (Instr. 4)  Reported (Instr. 4)				
			(A)	Transaction(s)				
			or	(Instr. 3 and 4)				
C			Code V Amount (D) Price					
Common	10/14/2016		I(1) W 21 157 A \$	27 552 334 D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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### Edgar Filing: Main Street Capital CORP - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title a	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amount	of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day	Year)	Underlyi	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr. 3	and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								٨	mount		
								01			
						Date Exercisable	Expiration Date		umber		
								of			
				Code V	(A) (D)				hares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Smith Brent D. 1300 POST OAK BLVD. SUITE 800 HOUSTON, TX 77056

CFO, Treasurer

## **Signatures**

/s/ Jason B. Beauvais as Attorney-in-Fact for Brent D. 10/20/2016 Smith

> \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt from Section 16 under Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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