Edgar Filing: Main Street Capital CORP - Form 4

Main Street Capital CORP Form 4 September 23, 2016

September 2	3, 2016												
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL					
	Washington, D.C. 20549							OMB Number:	3235-0287				
Check thi if no long subject to Section 1 Form 4 o	IENT OI	OF CHANGES IN BENEFICIAL OW SECURITIES						ERSHIP OF	Expires: Estimated a burden hour response	-			
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns Section 17(a	a) of the I	Public U	tility H	Hold		pany	Act of	Act of 1934, 1935 or Section)				
Print or Type F	Responses)												
1. Name and Address of Reporting Person <u>*</u> Griffin Jon Kevin			2. Issuer Name and Ticker or Trading Symbol Main Street Capital CORP [MAIN]					6	5. Relationship of Reporting Person(s) to Issuer				
			Main St	reet C	apı	al CORP	' [MIA	AINJ	(Check	all applicable)		
(Last) (First) (Middle) 1300 POST OAK BLVD., STE. 800			3. Date of Earliest Transaction (Month/Day/Year) 09/15/2016						X_ Director 10% Owner Officer (give title Other (specify below) below)				
HOUSTON	(Street)		4. If Ame Filed(Mor			te Original			6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by Mo	ne Reporting Per	rson		
	, 1A //050								Person				
(City)	(State)	(Zip)	Tabl	e I - No	on-D	erivative S	ecuri	ties Acqu	ired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	any					4. Securiti n(A) or Dis (Instr. 3, 4	posed	of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)				
Common Stock	09/15/2016			P <u>(1)</u>	V	47.366	А	\$ 33.8	22,453.376	D			
Common Stock	09/15/2016			P <u>(1)</u>	V	56.213	А	\$ 34.14	22,509.589	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

	vative	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
Reportin	g Owners		Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Griffin Jon Kevin 1300 POST OAK BLVD. STE. 800 HOUSTON, TX 77056	Х							
Signatures								
/s/ Jason B. Beauvais as Attorney-in-Fact for J. Kevin Griffin								

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt (1) from Section 16 under Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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Date