**MAXLINEAR INC** Form 4

August 15, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Spice Adam C.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

MAXLINEAR INC [MXL]

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

08/12/2016

Director 10% Owner \_X\_\_ Officer (give title

C/O MAXLINEAR, INC., 5966 LA

(First)

Other (specify below) below) VP, Chief Financial Officer

PLACE COURT, SUITE 100 (Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

CARLSBAD, CA 92008

| (City)  | (State)                                 | (Zip) Tabl  | e I - Non-D                             | Derivative   | Secur | rities Acq   | uired, Disposed o  | f, or Beneficial                                      | ly Owned |
|---|---|---|---|--|-------|--|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3)                  | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired nsaction(A) or Disposed of (D) le (Instr. 3, 4 and 5) |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |          |
|   |   |   | Code V                                  | Amount   | or    | Price  | Transaction(s) (Instr. 3 and 4)                                      |   |          |
| Class A<br>Common<br>Stock (par<br>value<br>\$0.0001) | 08/12/2016                              |   | A                                       | 7,982<br>(1)   |       | \$ 0   | 283,382  | D   |          |
| Class A Common Stock (par value \$0.0001)             | 08/12/2016                              |   | F                                       | 3,290  | D     | \$<br>18.05  | 280,092  | D   |          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exerc   | cisable and | 7. Title | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------|-----------------|-------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | Expiration Date |             | Amou     | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of         | (Month/Day/     | Year)       | Under    | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative | e               |             | Securi   | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities |                 |             | (Instr.  | 3 and 4) |             | Own    |
|             | Security    |                     |                    |                   | Acquired   |                 |             |          |          |             | Follo  |
|             | ·           |                     |                    |                   | (A) or     |                 |             |          |          |             | Repo   |
|             |             |                     |                    |                   | Disposed   |                 |             |          |          |             | Trans  |
|             |             |                     |                    |                   | of (D)     |                 |             |          |          |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3, |                 |             |          |          |             | Ì      |
|             |             |                     |                    |                   | 4, and 5)  |                 |             |          |          |             |        |
|             |             |                     |                    |                   |            |                 |             |          |          |             |        |
|             |             |                     |                    |                   |            |                 |             |          | Amount   |             |        |
|             |             |                     |                    |                   |            | Date            | Expiration  |          | or       |             |        |
|             |             |                     |                    |                   |            | Exercisable     | *           |          | Number   |             |        |
|             |             |                     |                    |                   |            | Z.ici cisuoie   | 2           |          | of       |             |        |
|             |             |                     |                    | Code V            | (A) (D)    |                 |             |          | Shares   |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Spice Adam C. C/O MAXLINEAR, INC. 5966 LA PLACE COURT, SUITE 100 CARLSBAD, CA 92008

VP, Chief Financial Officer

#### **Signatures**

/s/ Adam Spice 08/15/2016 \*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents shares of Class A Common Stock issued to the reporting person for the January 1, 2016 to June 30, 2016 performance period under the Company's Executive Incentive Bonus Plan (the "Bonus Plan"). All shares were issued pursuant to the Company's 2010 Equity **(1)** Incentive Plan. Grant amounts were determined based on the award amount earned under the Bonus Plan and the closing price of the Company's Class A Common Stock in trading on the New York Stock Exchange on August 12, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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