Inogen Inc Form 3 August 11, 2015

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

 **GREER R SCOTT** 

(Last) (First) (Middle) 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

08/03/2015

Inogen Inc [INGN]

C/O INOGEN, INC., Â 325

**BOLLAY DRIVE** 

(Street)

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

\_X\_\_ Director Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

GOLETA, Â CAÂ 93117

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect

(I) (Instr. 5)

Common Stock 14,485 I See footnote (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Title

Derivative Security

Security: Direct (D)

1

### Edgar Filing: Inogen Inc - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Common Stock Warrant (right to buy)	04/20/2007	04/20/2017	Common Stock	1,517	\$ 0.3	I	See footnote (2)
Common Stock Warrant (right to buy)	04/20/2007	04/20/2017	Common Stock	688	\$ 0.3	I	See footnote (2)
Common Stock Warrant (right to buy)	04/20/2007	04/20/2017	Common Stock	266	\$ 0.3	I	See footnote (2)
Common Stock Warrant (right to buy)	05/29/2007	05/29/2017	Common Stock	1,783	\$ 0.3	I	See footnote (2)
Common Stock Warrant (right to buy)	06/15/2007	06/15/2017	Common Stock	1,188	\$ 0.3	I	See footnote (2)
Common Stock Warrant (right to buy)	07/17/2007	07/17/2017	Common Stock	496	\$ 0.3	I	See footnote (2)
Common Stock Warrant (right to buy)	10/05/2007	10/05/2017	Common Stock	9,280	\$ 0.3	I	See footnote (2)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>F</b>	Director	10% Owner	Officer	Other		
GREER R SCOTT						
C/O INOGEN, INC.	ÂΧ	Â	â	â		
325 BOLLAY DRIVE	AA	A	A	Α		
GOLETA, CA 93117						

## **Signatures**

/s/ Alison Bauerlein, as
Attorney-in-Fact
08/11/2015

### **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Shares held directly by The R. Scott Greer and Michelle Greer Revocable Trust, a trust for the benefit of the Reporting Person and the Reporting Person's spouse, for which the Reporting Person and the Reporting Person's spouse serve as trustees.
- (2) This warrant is held by Numenor Ventures, L.L.C. The reporting person and his wife are the managing members of Numenor Ventures, L.L.C.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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