### Edgar Filing: APPLIED GENETIC TECHNOLOGIES CORP - Form 4

#### APPLIED GENETIC TECHNOLOGIES CORP

Form 4

November 19, 2014

FORM 4	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Weshington, D.C. 20540
	Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

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response... 0.5

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Form 5

(Print or Type Responses)

1. Name and Address of Reporting Person \* Alta Partners VIII, L.P.

2. Issuer Name and Ticker or Trading

Issuer

below)

Symbol

APPLIED GENETIC

TECHNOLOGIES CORP [AGTC]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

11/17/2014

Director Officer (give title

\_X\_\_ 10% Owner \_\_ Other (specify

ONE EMBARCADERO CENTER, 37TH FLOOR

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year) Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

SAN FRANCISCO, CA 94111

(City)	(State)	(Zip) Tab	le I - Non-I	Derivative Sec	urities Ac	quired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities 2. omr Disposed 6 (Instr. 3, 4 an	of (D)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/17/2014		J <u>(1)</u>	1,492,200	D \$0	) 1,492,200	I	direct and indirect; see footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

(2)

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# $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. onNumber	6. Date Exercises Expiration D		7. Title		8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	•		Securi	, ,	(Instr. 5)	Bene
,	Derivative		, ,	,	Securities			(Instr.	3 and 4)		Owne
	Security				Acquired			`	,		Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date	Expiration		Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address		Relationships						
noporomg o where runner ra	<b>uu 1</b> 000	Director	10% Owner	Officer	Other			
Alta Partners VIII, L.P. ONE EMBARCADERO CE 37TH FLOOR SAN FRANCISCO, CA 941			X					
CHAMPSI FARAH ONE EMBARCADERO CE 37TH FLOOR SAN FRANCISCO, CA 941			X					
JANNEY DANIEL ONE EMBARCADERO CE 37TH FLOOR SAN FRANCISCO, CA 941			X					
NOHRA GUY P ONE EMBARCADERO CE 37TH FLOOR SAN FRANCISCO, CA 941			X					
Alta Partners Management V ONE EMBARCADERO CE 37TH FLOOR SAN FRANCISCO, CA 941	NTER		X					
Signatures								
/s/ Laurence	11/18/2014	ļ.						

Date

Reporting Owners

Randall

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\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a pro-rata in-kind distribution of Common Stock of the Issuer for no consideration by Alta Partners VIII, L.P. ("APVIII") to its partners.
- The securities are held of record by APVIII. Alta Partners Management VIII, LLC ("APMVIII") is the general partner of APVIII. Guy
  Nohra, Daniel Janney and Farah Champsi are managing directors of APMVIII and exercise shared voting and investment power with
  respect to the shares owned by APVIII. Each of the reporting persons disclaims beneficial ownership of such securities, except to the
  extent of his, her or its proportionate pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3