## Edgar Filing: Ultragenyx Pharmaceutical Inc. - Form 4

Ultragenyx Pharmaceutical Inc. Form 4 November 14, 2014

November 14	l, 2014											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL					
Washington,							NGE C	OMB Number:	3235-0287			
Check this if no long subject to Section 10 Form 4 or	er <b>STATE</b> 6.	x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP							Expires:January 3 200Estimated averageburden hours per response0			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type R	esponses)											
Kassberg Thomas Richard Symbol				Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
				•				(Check all applicable)				
(Last) (First) (Middle) 3. Date of (Month/Date)				Earliest Tr ay/Year)	ansaction			Director 10% Owner X Officer (give title Other (specify below) below)				
C/O ULTRA PHARMAC LEVERONI	EUTICAL INC	., 60	11/12/20	014				· · · · · · · · · · · · · · · · · · ·	enior Vice Pres	ident		
	(Street)	rreet) 4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
NOVATO, O	CA 94949							Form filed by M Person	lore than One Re	porting		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	11/12/2014			Code V S(1)	3,333	(D) D	Price \$ 41.85	95,805	D			
Reminder: Repo	ort on a separate lin	e for each c	lass of secu	rities benefi	icially own	ned dir	rectly or i	ndirectly.				

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. 3. Transaction Date Conversion or Exercise Price of Derivative Security			Date, if Transactio Code		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Reporting Owners											
	Reporting O	Owner Name / Addre	ess	Relationships							
				irector 10	)% Owner	Officer				Other	

**CBO & Senior Vice President** 

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Kassberg Thomas Richard C/O ULTRAGENYX PHARMACEUTICAL INC. 60 LEVERONI COURT NOVATO, CA 94949

## Signatures

 /s/ Shalini Sharp, by power of attorney for Thomas
 11/14/2014

 Kassberg
 \_\_\_\_\_\*Signature of Reporting Person
 Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a trading plan adopted pursuant to Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.