Dave & Buster's Entertainment, Inc.

Form 3

October 09, 2014

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Dave & Buster's Entertainment, Inc. [PLAY] Mulleady John (Month/Day/Year) 10/09/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2481 MANANA DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer \_ Other Person DALLAS, TXÂ 75220 (give title below) (specify below) Form filed by More than One SVP, RE & Dev Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) 0 D Â No Securities Beneficially Held Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)  2. Date Exercisable Expiration Date (Month/Day/Year)		ate	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

#### Edgar Filing: Dave & Buster's Entertainment, Inc. - Form 3

				Shares		(I) (Instr. 5)	
Option (right to buy)	(1)	04/16/2022	Common Stock	101,244	\$ 5.07	D	Â
Option (right to buy)	(2)	05/03/2023	Common Stock	67,495	\$ 8.3	D	Â
Option (right to buy)	(3)	09/27/2023	Common Stock	22,498	\$ 9.34	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>FS</b>	Director 10% Owner Officer		Officer	Other		
Mulleady John 2481 MANANA DRIVE DALLAS Â TXÂ 75220	Â	Â	SVP, RE & Dev	Â		

## **Signatures**

Jay L. Tobin,
Attorney-in-Fact

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Of the shares subject to the option, 80,995 have previously vested and the remaining shares will vest ratably on April 16, 2015, April 16, 2016, and April 16, 2017.
- (2) Of the shares subject to the option, 13,499 shares have previously vested and the remaining shares will vest ratably on May 3, 2015, May 3, 2016, May 3, 2017 and May 3, 2018.
- (3) Of the shares subject to the option, 4,500 shares have previously vested and the remaining shares will vest ratably on September 27, 2015, September 27, 2016, September 27, 2017 and September 27, 2018.

Â

#### **Remarks:**

See Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2