TRI Pointe Homes, Inc.

Form 3

July 17, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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response...

**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Holder Floyd Will

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

07/07/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

TRI Pointe Homes, Inc. [TPH]

(Check all applicable)

(give title below) (specify below)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O TRI POINTE HOMES. INC., 19520 JAMBOREE **ROAD. SUITE 200** 

(Street)

Director

\_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) President of Trendmaker Homes \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

IRVINE. CAÂ 92612

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Form: Direct (D) or Indirect

(I)

Common Stock 30,064

(Instr. 5) D

Â

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5) Price of Derivative

Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	02/16/2015	Common Stock	11,486	\$ 11.33	D	Â
Stock Option (Right to Buy)	(2)	02/15/2016	Common Stock	16,809	\$ 12.45	D	Â
Stock Option (Right to Buy)	(3)	02/14/2017	Common Stock	47,063	\$ 14.4	D	Â
Stock Option (Right to Buy)	(4)	02/09/2021	Common Stock	36,937	\$ 11.45	D	Â
Stock Option (Right to Buy)	(5)	02/08/2022	Common Stock	29,550	\$ 9.67	D	Â
Stock Option (Right to Buy)	(6)	02/13/2023	Common Stock	35,882	\$ 14.47	D	Â
Stock Option (Right to Buy)	(7)	02/12/2024	Common Stock	42,214	\$ 14.29	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b></b>	Director	10% Owner	Officer	Other	
Holder Floyd Will C/O TRI POINTE HOMES, INC. 19520 JAMBOREE ROAD, SUITE 200 IRVINE Â CAÂ 92612	Â	Â	President of Trendmaker Homes	Â	

# **Signatures**

Michael D. Grubbs, attorney-in-fact 07/17/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options vest ratably over four years.
- (2) Stock options vest ratably over four years.
- (3) Stock options vest ratably over four years.
- (4) Stock options vest ratably over four years.
- (5) Stock options vest ratably over four years.
- (6) Stock options vest ratably over four years.
- (7) Stock options vest ratably over four years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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