Edgar Filing: ADTRAN INC - Form 4

| ADTRAN INC Form 4 | | | | | | | | |
|--|---|---|---|--|---|--|--|--|
| | | RITIES AND EXCHANG ashington, D.C. 20549 | E COMMISSION | OMB A OMB Number: | PPROVAL 3235-0287 | | | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). | | | | | | | | |
| (Print or Type Responses) 1. Name and Address of Reporting Nair Balan | Symbol | er Name and Ticker or Trading AN INC [ADTN] | 5. Relationship of Issuer | | | | | |
| (Last) (First) (901 EXPLORER BLVD. | | of Earliest Transaction Day/Year) 2014 | (Check all applicable) <u>—X</u> Director 10% Owner <u> Officer (give title</u> Other (specify below) below) | | | | | |
| (Street) HUNTSVILLE, AL 35806 | Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) (State) | (Zip) Tab | ble I - Non-Derivative Securities | | , or Beneficia | lly Owned | | | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Pric | Securities F Beneficially (I Owned (I Following (I Reported Transaction(s) (Instr. 3 and 4) | . Ownership orm: Direct D) or Indirect () (nstr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| Reminder: Report on a separate line | e for each class of sec | urities beneficially owned directly Persons who re information con required to res | | are not n | SEC 1474 (9-02) | | | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of | 8. |
|-------------|-------------|---------------------|--------------------|-----------------------|--------------|-------------------------|------------------------|-----|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | TransactionDerivative | | Expiration Date | Underlying Securities | D |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | Se |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Acquired (A) | | | (Iı |

number.

1

| | Derivative Security | | | or Disposed of (D) (Instr. 3, 4, and 5) | | | | | | |
|----------------------------------|------------------------|------------|------|--|--------|-----|---------------------|--------------------|-----------------|-------------------------------------|
| | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Dividend Equivalent Rights | <u>(1)</u> | 05/15/2014 | А | | 12.342 | | <u>(1)</u> | <u>(1)</u> | Common Stock | 12.342 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|------------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Nair Balan 901 EXPLORER BLVD. HUNTSVILLE, AL 35806 | Х | | | | | | |
| Signatures | | | | | | | |
| Gayle S. Ellis by Power of Attorney | | 05/19/2014 | 4 | | | | |
| **Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The dividend equivalent rights accrue when and as dividends are paid on the Company's common stock. Each dividend equivalent right is the economic equivalent of one share of the Company's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.