### Edgar Filing: APPLIED GENETIC TECHNOLOGIES CORP - Form 4/A

Form 4/A April 23, 2014 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person. <sup>*</sup> Menichella Daniel L (Last) (First) (Middle) (Last) (First) (Middle) 2. Issuer Name and Ticker or Trading Symbol APPLIED GENETIC (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) OMB APPROVAL OMB Sumber: Sector ANDEXCHANGE COMMISSION Number: Sector 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1. Sume and Address of Reporting Person. <sup>*</sup> 2. Issuer Name and Ticker or Trading Symbol APPLIED GENETIC (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Other (give titte — 10% Owner 
FORM 4       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB APPROVAL         Check this box if no longer subject to Section 16. Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       OMB Number: 2005         Form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b).       Filed pursuant to Section 16(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 1(b).       State Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).       State Name and Ticker or Trading Symbol       State Name and Ticker or Trading Symbol       State of Reporting Person(s) to Issuer         (Print or Type Responses)       2. Issuer Name and Ticker or Trading Menichella Daniel L       State of Earliest Transaction (Month/Day/Year)       State of Earliest Transaction (Month/Day/Year)       State of Earliest Transaction (Month/Day/Year)       Director X Officer (give tite below)       10% Owner X Officer (give tite below)
Check this box if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       MMB       3235-0287         Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 5       Expires:       January 31, 2005         Section 16.       SECURITIES       Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(b).       0.5         (Print or Type Responses)       30(h) of the Investment Company Act of 1940       5. Relationship of Reporting Person(s) to Issuer         1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)
if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Expires:       Cultural y 04, 2005         Form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Image: Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Compan
Subject to Section 16. SECURITIES Estimated average burden hours per response 0.5 Form 4 or response 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 30(h) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2. Issuer Name and Ticker or Trading Symbol Issuer Menichella Daniel L Symbol APPLIED GENETIC TECHNOLOGIES CORP [AGTC] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director Officer (give title Officer (give title Officer (give title
Form 5 obligations may continue. See Instruction 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940         (Print or Type Responses)       30(h) of the Investment Company Act of 1940         1. Name and Address of Reporting Person <sup>+</sup> . Menichella Daniel L       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       Director -X_Officer (give title Other (specify below)         C/O APPLIED GENETIC       04/17/2014
1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         Menichella Daniel L       APPLIED GENETIC TECHNOLOGIES CORP [AGTC]       (Check all applicable)         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       Director10% Owner10% Owner10% Owner10% Owner         C/O APPLIED GENETIC       04/17/2014       V.P. & Chief Business Officer
Menichella Daniel L       Symbol       Issuer         APPLIED GENETIC       (Check all applicable)         (Last)       (First)       (Middle)         3. Date of Earliest Transaction
C/O APPLIED GENETIC     Officer (give title
TECHNOLOGIES CORP., 11801 RESEARCH DRIVE, SUITE D
(Street)       4. If Amendment, Date Original       6. Individual or Joint/Group Filing(Check         Filed(Month/Day/Year)       Applicable Line)         04/21/2014       _X_Form filed by One Reporting Person         ALACHUA, FL 32615      Form filed by More than One Reporting
(City)     (State)     (Zip)     Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any3.4. Securities TransactionAcquired (A) or Disposed of (D)5. Amount of Securities6. Ownership Form: Direct7. Nature of Indirect(Instr. 3)any (Month/Day/Year)Code (Instr. 8)Disposed of (D) (Instr. 3, 4 and 5)Beneficially Owned Following (Instr. 4)6. Ownership Form: Direct7. Nature of Indirect(A) or (A)(Instr. 4)(Instr. 4)(Instr. 4)
Code V Amount (D) Price (Insul 3 and 4) Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

#### 1. Title of 2 3. Transaction Date 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount of 8. I 4. Derivative Conversion (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date Underlying Securities De Security or Exercise any Code Securities (Month/Day/Year) (Instr. 3 and 4) Sec (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (In Derivative (A) or Security Disposed of (D) (Instr. 3, 4, and 5) Title Code V (A) (D) Date Expiration Amount Exercisable Date or Number of Shares Stock Option Common (1)(2)04/17/2024 \$ 14.08 04/17/2014 Α 7,567 7,567 (Right to Stock Buy)

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# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Menichella Daniel L C/O APPLIED GENETIC TECHNOLOGIES CORP. 11801 RESEARCH DRIVE, SUITE D ALACHUA, FL 32615			V.P. & Chief Business Officer	
Signatures				
/s/ Hemmie Chang, attorney-in-fact for Daniel Menichella		04/23/2014		

## **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Option becomes exercisable for 5/48 of the underlying shares on September 17, 2014, and becomes exercisable for 1/48 of the underlying
   (1) shares on the 17th of each month thereafter, resulting in the option being exercisable for 100% of the underlying shares on the fourth anniversary of the grant date.

Date

(2) The reporting person is amending the Form 4 originally filed to report this option grant, for the purpose of correcting the vesting schedule applicable to the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.