1

Edgar Filing: APPLIED GENETIC TECHNOLOGIES CORP - Form 3

APPLIED GENETIC TECHNOLOGIES CORP Form 3 March 26, 2014 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549 **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> BULLOCK LAWRENCE E			2. Date of Event Requiring Statement (Month/Day/Year)	³ 3. Issuer Name and Ticker or Trading Symbol APPLIED GENETIC TECHNOLOGIES CORP [AGTC]				
· · ·	(First)	(Middle)	03/26/2014	4. Relationsh Person(s) to l	ip of Reporting	5. If Amendment, Date Original Filed(Month/Day/Year)		
C/O APPLIED GENETIC TECHNOLOGIES CORPORA				(Check	all applicable)			
(Street) ALACHUA, FL 32615				Director 10% Owner X Officer Other (give title below) (specify below) Chief Financial Officer		Filing(Check Applicable Line)		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Beneficially Owned					
1.Title of Security (Instr. 4)	y		2. Amount of Beneficially (Instr. 4)		Ownership O	Nature of Indirect Beneficial wnership instr. 5)		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)								
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.								
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I)	

OMB APPROVAL

OMB 3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per 0.5 response...

Edgar Filing: APPLIED GENETIC TECHNOLOGIES CORP - Form 3

					(Instr. 5)		
Stock Option (Right to Buy)	(1)	03/26/2024 Commo Stock	ⁿ 100,520	\$ 12	D	Â	

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
BULLOCK LAWRENCE E C/O APPLIED GENETIC TECHNOLOGIES CORPORA ALACHUA, FL 32615	Â	Â	Chief Financial Officer	Â			
Signatures							
/s/ Hemmie Chang, attorney-in-fact for Lawrence E. Bullock	03/	26/2014					
**Signature of Reporting Person		Date					
Explanation of Responses:							
* If the form is filed by more than one reporting person see Instruction $5(h)(y)$							

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Option becomes exercisable for 25% of the underlying shares on the first anniversary of the grant date, and thereafter becomes

(1) exercisable for the remaining underlying shares in equal monthly installments over three years, resulting in the option being exercisable for 100% of the underlying shares on the fourth anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.