### Edgar Filing: PATRIOT NATIONAL BANCORP INC - Form 4

#### PATRIOT NATIONAL BANCORP INC

Form 4

December 16, 2013

FUNIV	UNITED	STATES		ITIES Al			NGE (	COMMISSION	OMB Number:	3235-0287	
Check thi if no long subject to Section 10 Form 4 or Form 5 obligation may continue See Instruction (b).	STATEM 6. r Filed pur ns Section 17(	suant to S	F CHANGE Section 16	GES IN E SECURI	BENEFI TIES  Securiti	CIA	xchang Act of	NERSHIP OF the Act of 1934, f 1935 or Section	Expires: Estimated a burden hou response	ırs per	
(Print or Type R	Responses)										
1. Name and Address of Reporting Person * Davis Samuel S			2. Issuer Name and Ticker or Trading Symbol PATRIOT NATIONAL BANCORP INC [PNBK]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) (Middle)  C/O PATRIOT NATIONAL BANCORP, INC., 900 BEDFORD STREET			3. Date of Earliest Transaction (Month/Day/Year) 12/09/2013					Director 10% Owner Specify Delow) Delow below below EVP and Chief Credit Officer			
STAMFORI	(Street) D. CT 06901			ndment, Dat th/Day/Year)	e Original			•		erson	
(City)		(Zip)	<b></b>					Person	e p et 1		
		_						quired, Disposed o			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	med on Date, if Day/Year)	3. Transactio Code (Instr. 8)	n(A) or Di (D) (Instr. 3,	sposed 4 and (A) or	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	12/09/2013			P	4,000	A	\$ 1.05	6,000	D		
Common Stock								9,000	I	By Samuel S. Davis Roth IRA	
Common Stock								12,400	I	By Samuel S. Davis	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**IRA** 

**OMB APPROVAL** 

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SEC 1474 (9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ate	Amou	nt of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	]
	Derivative				Securities			(Instr.	3 and 4)		(
	Security				Acquired						J
					(A) or						]
					Disposed						-
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	m	or		
						Exercisable	Date	Title	Number		
				~	<del></del>				of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships
reporting owner rume, rudicios	

Director 10% Owner Officer Other

Davis Samuel S C/O PATRIOT NATIONAL BANCORP, INC. 900 BEDFORD STREET STAMFORD, CT 06901

EVP and Chief Credit Officer

### **Signatures**

/s/ Samuel S. Davis 12/16/2013

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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