Edgar Filing: HOME BANCSHARES INC - Form 4

| HOME BANC Form 4 | CSHARES INC | | | | | | | | | |
|---|--|----------------------|---|------------|--|---|--|---|---|--|
| September 04, | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHAN | | | | NGE CO | MMISSION | OMB AP | | | | |
| Check this | hov | Was | hington, l | D.C. 205 | 49 | | | Number: | 3235-0287 | |
| Check this if no longer subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruct 1(b). | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, e. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940 | | | | | | | Expires: January 31 2005 Estimated average burden hours per response 0.5 | | |
| (Print or Type Re | sponses) | | | | | | | | | |
| 1. Name and Add French Tracy | Symbol HOME | | | | | . Relationship of Reporting Person(s) to ssuer (Check all applicable) | | | | |
| (Last) P.O. BOX 960 | (| | | | | | | Director 10% Owner XOfficer (give title Other (specify ow) below) Centennial Bank Regional Pres. | | |
| CONWAY, A | nth/Day/Year) Ap _X | | | | . Individual or Join pplicable Line) X_ Form filed by Or | Individual or Joint/Group Filing(Check plicable Line) _ Form filed by One Reporting Person _ Form filed by More than One Reporting | | | | |
| (City) | (State) (Z | ^{iip)} Tabl | e I - Non-De | rivative S | ecurit | ies Acqui | red, Disposed of, | or Beneficially | v Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | 3. 4. Securities Acc Transactionor Disposed of (I Code (Instr. 3, 4 and 5 (Instr. 8) (A) or Code V Amount (D) | | | cquired (A | · • · | 6. Ownership Form: Direct (D) or Indirect (I)) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 08/30/2013 | | Р | 930 | A | \$ 25.543 | 3 34,750.85 | D | | |
| Common Stock | | | | | | | 13,961.358 | Ι | By IRA | |
| Common Stock - Performance Based | | | | | | | 4,000 <u>(5)</u> | D | | |
| Common Stock - Restricted | | | | | | | $11,334 \underline{(2)} \underline{(4)} \underline{(4)} \underline{(6)}$ | ¹⁾ D | | |

Reporting Owners

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| Common Stock | 18,424.146 I | By 401(k) |
|--|--|--------------|
| Reminder: Report on a separate line for each class of securiti | ies beneficially owned directly or indirectly. Persons who respond to the collection of | SEC 1474 |
| | information contained in this form are not | ~_~ |
| | required to respond unless the form displays a currently valid OMB control | (9-02) |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | e | 7. Title and A Underlying S (Instr. 3 and 4 | Securities |
|---|---|---|---|--|---|---------------------|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option | \$ 19.08 | | | | | (3) | 04/17/2023 | Common Stock | 20,000 |
| Performance Stock Option | \$ 5.54 | | | | | 01/01/2010 | 03/13/2016 | Common Stock | 40,000 |
| Stock Option | \$ 17.25 | | | | | <u>(1)</u> | 01/17/2023 | Common Stock | 20,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|------------|--------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| French Tracy P.O. BOX 966 CONWAY, AR 72033 | | | Centennial Bank Regional Pres. | | | | |
| Signatures | | | | | | | |
| /s/ Tracy French by Rachel Wesson | | 09/04/2013 | | | | | |
| **Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is exercisable in five equal annual installments. The first installment becomes exercisable on January 18, 2014.
- (2) Restricted Stock granted on February 2, 2011 will vest in 33 1/3% installments over three years each February 2nd.
- (3) The option is exercisable in five equal annual installments. The first installment becomes exercisable on April 18, 2014.
- (4) Restricted Stock granted on August 2, 2012 will "cliff" vest 100% three years from award date.
- The Performance Stock awarded on August 2, 2012 will "cliff" vest on the third annual anniversary of the date that the performance goal
 (5) is met. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.625 diluted earnings per share for four consecutive quarters or \$2.50 total diluted earnings per share over a period of four consecutive quarters.
- (6) Restricted Stock granted on January 18, 2013 will "cliff" vest 100% three years from award date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.