### Edgar Filing: McSpadden Joseph L - Form 4

McSpadden Jo	oseph L										
Form 4 December 11,	2000										
FORM	Л	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								PPROVAL 3235-0287	
Check this if no longe subject to Section 16 Form 4 or Form 5 obligations may contin <i>See</i> Instruct 1(b).	Filed pro-								Expires: Estimated a burden hou response	Expires:January 31Extimated averageburden hours perresponse0.5	
(Print or Type Re	esponses)										
1. Name and Ad McSpadden J	dress of Reportin Oseph L	g Person <u>*</u>	Symbol	Name and E & CO II			g	5. Relationship of Issuer	f Reporting Per ck all applicable		
55 WATER STREET, 11TH FLOOR 12 (Street) 4. I			<ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>12/09/2009</li></ul>					Director 10% Owner X Officer (give title Other (specify below) President, MC			
				4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEW YORK	, NY 10041							Form filed by M Person	More than One Ro	eporting	
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Executio any	emed on Date, if Day/Year)	3. Transactic Code (Instr. 8) Code V	4. Securi onAcquired Disposed (Instr. 3, Amount	(A) o of (D	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/09/2009			А	5,000	A	\$ 0 (1)	8,182 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to buy Common Stock	\$ 6.515	12/09/2009		A	12,500	(3)	12/08/2016	Common Stock	12,500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
McSpadden Joseph L 55 WATER STREET, 11TH FLOOR NEW YORK, NY 10041			President, MC				
Signatures							
Joseph L. McSpadden by Scott spitzer Attorney	12/11/2009						

#### \*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock granted for no-cash consideration in a transaction exempt under Rule 16b-3(d).

The number of shares of Common Stock beneficially owned as of the date reported, including the number of deferred stock units credited to the Reporting Person under Company plans, as permitted under applicable SEC rules, and including Restricted Stock granted under the

- (2) 1999 Incentive Compensation Plan for no-cash consideration in a transaction exempt under Rule 16b-3(d). This Restricted Stock grant will vest by a fourth on the first four anniversaries of the grant, to be fully vested in four years. The entire grant will immediately vest upon the grantee's retirement with the approval of the Board of Directors, his permanently disability or death.
- (3) Incentive Stock Options granted under the Company's 1999 Incentive Compensation Plan. Options became exercisable in 25% increments on the first four anniversaries of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date