#### Edgar Filing: NORTH BRIDGE VENTURE PARTNERS IV B LP - Form 3

#### NORTH BRIDGE VENTURE PARTNERS IV B LP

Form 3

September 23, 2009

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

NBVM GP, LLC

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

09/23/2009

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

A123 SYSTEMS, INC. [AONE]

4. Relationship of Reporting Person(s) to Issuer

Director

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O NORTH BRIDGE VENTURE PARTNERS, 950

WINTER STREET, SUITE 4600

(Street)

(Check all applicable) \_X\_ 10% Owner

Officer Other (give title below) (specify below) 6. Individual or Joint/Group

Filing(Check Applicable Line) Form filed by One Reporting

Person

X Form filed by More than One

Reporting Person

WALTHAM, MAÂ 02451

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

(Instr. 5) Form:

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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2. Date Exercisable and

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

Conversion or Exercise

5. 6. Nature of Indirect Ownership Beneficial Ownership Form of (Instr. 5)

(Instr. 4)

Date **Expiration Title** Exercisable Date

Amount or Number of Price of Derivative Security

Derivative Security: Direct (D)

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				Shares	or Indir (I) (Instr. 5	
Series A Convertible Preferred Stock	(1)	(1)	Common Stock	1,016,570 \$ ( <u>c</u> )	<u>1)</u> D	Â
Series A Convertible Preferred Stock	(1)	(1)	Common Stock	483,430 (3) \$ (	<u>1)</u> D	Â
Series B Convertible Preferred Stock	(1)	(1)	Common Stock	654,309 (2) \$ (	<u>1)</u> D	Â
Series B Convertible Preferred Stock	(1)	(1)	Common Stock	310,209 (3) \$ (	<u>1)</u> D	Â
Series C Convertible Preferred Stock	(1)	(1)	Common Stock	321,142 (2) \$ (	<u>1)</u> D	Â
Series C Convertible Preferred Stock	(1)	(1)	Common Stock	152,254 (3) \$ (	<u>1)</u> D	Â
Series D Convertible Preferred Stock	(1)	(1)	Common Stock	257,472 <u>(2)</u> \$ <u>(</u>	<u>1)</u> D	Â
Series D Convertible Preferred Stock	(1)	(1)	Common Stock	122,068 (3) \$ (	<u>1)</u> D	Â
Series F Convertible Preferred Stock	(1)	(1)	Common Stock	221,313 (2) \$ (	<u>1)</u> D	Â
Series F Convertible Preferred Stock	(1)	(1)	Common Stock	104,925 (3) \$ (	<u>1)</u> D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships			
	Director	10% Owner	Officer	Other	
NBVM GP, LLC C/O NORTH BRIDGE VENTURE PARTNERS 950 WINTER STREET, SUITE 4600 WALTHAM, MA 02451	Â	ÂX	Â	Â	
NORTH BRIDGE VENTURE PARTNERS IV A LP C/O NORTH BRIDGE VENTURE PARTNERS 950 WINTER STREET, SUITE 4600 WALTHAM, MA 02451	Â	ÂX	Â	Â	
NORTH BRIDGE VENTURE PARTNERS IV B LP C/O NORTH BRIDGE VENTURE PARTNERS 950 WINTER STREET, SUITE 4600 WALTHAM, MA 02451	Â	ÂX	Â	Â	
North Bridge Venture Management IV, L.P. C/O NORTH BRIDGE VENTURE PARTNERS 950 WINTER STREET, SUITE 4600	Â	ÂX	Â	Â	

Reporting Owners 2

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09/23/2009

09/23/2009 Date

09/23/2009

Date

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WALTHAM, MAÂ 02451

ANDERSON EDWARD T

C/O NORTH BRIDGE VENTURE PARTNERS Â Â X

950 WINTER STREET, SUITE 4600

WALTHAM, MAÂ 02451

DAMORE RICHARD A

C/O NORTH BRIDGE VENTURE PARTNERS

NBVM GP, LLC /s/ Edward T. Anderson, Manager

950 WINTER STREET, SUITE 4600

WALTHAM, MAÂ 02451

# **Signatures**

/s/ Edward T. Anderson

/s/ Richard A. D'Amore

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**Signature of Reporting Person	Date		
North Bridge Venture Partners IV-A, L.P. By: North Bridge Venture Management IV, L.P., its General Partner; By: NBVM GP, LLC, its General Partner; /s/ Edward T. Anderson; Manager			
**Signature of Reporting Person	Date		
North Bridge Venture Partners IV-B, L.P. By: North Bridge Venture Management IV, L.P., its General Partner; By NBVM GP LLC, its General Partner; /s/ Edward T. Anderson, Manager			
**Signature of Reporting Person	Date		
North Bridge Venture Management IV, L.P. By: NBVM GP, LLC, its General Partner; /s/ Edward T. Anderson; Manager	09/23/2009		
**Signature of Reporting Person	Date		

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Signature of Reporting Person

\*\*Signature of Reporting Person

- Each share of preferred stock represented herein is immediately convertible and will automatically convert upon the closing of the issuer's initial public offering into one share of common stock of the issuer, and has no expiration date.
  - Represents securities held of record by North Bridge Venture Partners IV-A, L.P., or NBVP IV-A. NBVM GP, LLC, or NVBM, the sole general partner of North Bridge Venture Management IV, L.P., which is the sole general partner of NBVP IV-A, has sole voting and
- (2) dispositive power over these shares. The managers of NVBM having shared voting and dispositive power over these shares are Edward T.

  Anderson and Richard A. D'Amore, each of whom disclaims beneficial ownership of such shares except to the extent of their pecuniary interest.
  - Represents securities held of record by North Bridge Venture Partners IV-B, L.P., or NBVP IV-B. NBVM GP, LLC, or NVBM, the sole general partner of North Bridge Venture Management IV, L.P., which is the sole general partner of NBVP IV-B, has sole voting and
- (3) dispositive power over these shares. The managers of NVBM having shared voting and dispositive power over these shares are Edward T. Anderson and Richard A. D'Amore, each of whom disclaims beneficial ownership of such shares except to the extent of their pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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