Edgar Filing: GUTIERREZ DONALD A - Form 4

GUTIERREZ I	DONALD A										
Form 4 February 27, 20)07										
FORM	Л						ar a		OMB AF	PROVAL	
	UNITEDS	TATES		FIES AN ington, D			GE C	OMMISSION	OMB Number:	3235-0287	
Check this b if no longer subject to Section 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERS SECURITIES							NERSHIP OF	Expires: January 31, 2005 Estimated average burden hours per		
Form 4 or Form 5 obligations may continu <i>See</i> Instructi 1(b).	e. Section 17(a	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section									
(Print or Type Res	ponses)										
1. Name and Address of Reporting Person <u>*</u> GUTIERREZ DONALD A			2. Issuer Name and Ticker or Trading Symbol LAMSON & SESSIONS CO [LMS]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	iddle)	3. Date of Earliest Transaction			(Check	k all applicable)			
THE LAMSON & SESSIONS CO., 25701 SCIENCE PARK DRIVE			(Month/Day/Year) 02/23/2007					Director 10% Owner X Officer (give title Other (specify below) below) Senior Vice President			
			ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
CLEVELAND	о, ОН 44122							Form filed by M Person	ore than One Re	porting	
(City)	(State) (Zip)	Table l	l - Non-Der	ivative Se	curiti	es Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year) Execu any			Disposed (Instr. 3,	l (A) of l of (D 4 and (A) or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
COMMON STOCK						(-)		1,643	I	See Footnote	
COMMON STOCK	02/23/2007			А	2,500	А	\$0	20,355	D (2)		
COMMON STOCK								2,959	I	See Footnote	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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02/27/2007

Date

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number orof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D	6. Date Exercisable and Expiration Date (Month/Day/Year)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
STOCK APPRECIATION RIGHT	\$ 30.23	02/23/2007		A	6,700	<u>(4)</u>	02/23/2017	COMMON STOCK

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GUTIERREZ DONALD A THE LAMSON & SESSIONS CO. 25701 SCIENCE PARK DRIVE CLEVELAND, OH 44122			Senior Vice President				
Signatures							
/s/ Aileen Liebertz Aileen Liebertz, Attorney-in-Fact for Donald A.							

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held under The Lamson & Sessions Co. Deferred Savings Plan (the "401(k) Plan"), exempt under Rule 16b-3(c). Total adjusted to reflect ongoing acquisitions under the 401(k) Plan since Reporting Person's last report.
- Total includes 341 and 198 restricted shares, exempt under Rule 16b-3(d)(1), held by issuer until 3-year vesting period, vesting on
- (2) February 17, 2008 and February 16, 2009, respectively. Total also reflects 2,700 shares of restricted stock, vesting on February 16, 2012, and 2,500 shares of restricted stock, vesting on February 23, 2013, but subject to acceleration, based on performance.
- (3) Held in Trust pursuant to the Deferred Compensation Plan for Executive Officers a 16b-3 Plan as of March 10, 2006.

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(4) The stock appreciation rights are exercisable over three (3) years as follows: one-third on February 23, 2008; one-third on February 23, 2009; and one-third on February 23, 2010, with the number of shares vested in each year rounded to the nearest whole share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.