Stock Yards Bancorp, Inc. Form 4

November 04, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

OMB 3235-0287 Number: January 31,

**OMB APPROVAL** 

Expires: 2005 Estimated average

burden hours per response... 0.5

Check this box if no longer subject to Section 16.

**SECURITIES** Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Addr THOMPSON I	_	ng Person *	2. Issuer Name and Ticker or Trading Symbol Stock Yards Bancorp, Inc. [SYBT]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)			
6804 FAIRWAY VIEW CT.		T.	(Month/Day/Year) 10/31/2014	_X_ Director 10% Owner X Officer (give title Other (specify below) Sr. Executive Vice President			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
PROSPECT, K	XY 40059		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Ta	ble I - Non	-Derivati	ve Sec	curities A	cquired, Dispose	d of, or Benef	ficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 3, 4 and 5)		ed of (D) 5)	5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	10/31/2014		Code V M	Amount 7,500	(D)	Price (1)	36,768.1777	D	
Common Stock	10/31/2014		S	7,500	D	\$ 33.28	29,268.1777	D	
Common Stock							18,848.9217	I	By ESOP/401k-fbo Kathy Thompson

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	tionof Se ) Ac (A Di (D (In	Securities		ve Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
			Code V			(D)	Date Exercisable	Expiration Date	Title	Amour or Numbe of Shar
Option (Right to Buy)	\$ 24.0667	7 10/31/2014	M			7,500	07/17/2006	01/17/2016	Common Stock	7,50
Option (Right to Buy)	\$ 26.83						08/20/2007	02/20/2017	Common Stock	9,50
Stock Appreciation Right	\$ 22.14						02/17/2010	02/17/2019	Common Stock	5,50
Stock Appreciation Right	\$ 21.03						02/16/2011	02/16/2020	Common Stock	9,01
Stock Appreciation Right	\$ 23.76						03/15/2012	03/15/2021	Common Stock	6,11
Stock Appreciation Right	\$ 22.86						02/20/2013	02/20/2022	Common Stock	10,64
Stock Appreciation Right	\$ 29.05						02/18/2015	02/18/2024	Common Stock	8,78

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
THOMPSON KATHY C 6804 FAIRWAY VIEW CT.	X		Sr. Executive Vice President					

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PROSPECT, KY 40059

## **Signatures**

//Kathy C. 11/04/2014 Thompson

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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