#### THOMPSON KATHY C

Form 4 March 17, 2011

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading THOMPSON KATHY C Symbol S Y BANCORP INC [SYBT]

(First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year) 03/15/2011

(Zip)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below)

Sr. Executive Vice President

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PROSPECT, KY 40059

(State)

6804 FAIRWAY VIEW CT.

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 7. Nature of Indirect Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Ownership Beneficial (Instr. 3) Code Disposed of (D) Beneficially Form: Ownership (Instr. 8) (Instr. 3, 4 and 5) Direct (D) (Month/Day/Year) Owned (Instr. 4) Following or Indirect Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount (D) Price

Common 36,426.8863 Stock

By Common 14,763,4342 ESOP/401k-fbo (1) Stock Kathy

Thompson

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	ionof Se A (A D (I	ecurition (cquirent) (A) or (dispose	vative es ed	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	7	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Option (Right to Buy)	\$ 16							06/27/2001	12/27/2011	Common Stock	8,40
Option (Right to Buy)	\$ 18.619							06/17/2003	12/17/2012	Common Stock	7,14
Option (Right to Buy)	\$ 20.1714							06/16/2004	12/16/2013	Common Stock	9,76
Option (Right to Buy)	\$ 22.8095							06/14/2005	06/14/2014	Common Stock	9,34
Option (Right to Buy)	\$ 24.0667							07/17/2006	11/07/2016	Common Stock	14,70
Option (Right to Buy)	\$ 26.83							08/20/2007	02/20/2017	Common Stock	9,50
Stock Appreciation Right	\$ 23.37							08/19/2008	02/19/2018	Common Stock	6,00
Stock Appreciation Right	\$ 22.14							02/17/2010	02/17/2019	Common Stock	5,50
Stock Appreciation Right	\$ 21.03							02/16/2011	02/16/2020	Common Stock	9,01
Stock Appreciation Right	\$ 23.76	03/15/2011		A	6	5,116		03/15/2012	03/15/2021	Common Stock	6,11

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

THOMPSON KATHY C

6804 FAIRWAY VIEW CT. X Sr. Executive Vice President

PROSPECT, KY 40059

# **Signatures**

//Kathy C.
Thompson 03/17/2011

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 2010 employer annual contribution.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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