POWER SOLUTIONS INTERNATIONAL, INC. Form 8-K

August 18, 2016

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K

# **CURRENT REPORT**

# PURSUANT TO SECTION 13 OR 15(d) OF THE

### **SECURITIES EXCHANGE ACT OF 1934**

Date of Report (Date of earliest event reported): August 16, 2016

Power Solutions International, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of

**000-35944** (Commission

**33-0963637** (IRS Employer

incorporation or organization)

File Number)

**Identification No.)** 

201 Mittel Drive, Wood Dale, Illinois 60191

# Edgar Filing: POWER SOLUTIONS INTERNATIONAL, INC. - Form 8-K (Address of principal executive offices and zip code)

Registrant s telephone number, including area code: (630) 350-9400

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- "Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 3.01 Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing. On August 16, 2016, Power Solutions International, Inc. (the Company) (Nasdaq: PSIX) received a delinquency notice from the staff of The Nasdaq Stock Market (Nasdaq) stating that the Company was not in compliance with Nasdaq Listing Rule 5250(c)(1) because the Company did not timely file its Quarterly Report on Form 10-Q for the second quarter ended June 30, 2016 with the Securities and Exchange Commission. The Company is finalizing a plan for compliance to submit to Nasdaq no later than 60 calendar days from the date of the delinquency notice (or October 17, 2016).

If approved by the Nasdaq staff, the Company may be eligible for a listing exception of up to 180 calendar days (or until February 6, 2017) to regain compliance. The Company s common stock will continue to be listed on Nasdaq pending Nasdaq s review of the plan of compliance.

On August 18, 2016, the Company issued a press release regarding the foregoing matters. A copy of the press release is filed herewith as Exhibit 99.1 to this Form 8-K and is incorporated herein by reference.

#### Item 9.01 Financial Statements and Exhibits.

## (d) Exhibits.

**Exhibit** 

**Number** Exhibit Description

99.1 Press Release of Power Solutions International, Inc. dated August 18, 2016.

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

POWER SOLUTIONS INTERNATIONAL, INC.

By: /s/ Michael P. Lewis Michael P. Lewis Chief Financial Officer

Dated: August 18, 2016