

Newlands William A  
Form 3  
October 06, 2011

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

^ Newlands William A

(Last) (First) (Middle)

BEAM INC.,^ 510 LAKE  
COOK ROAD

(Street)

DEERFIELD,^ IL^ 60015

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

10/03/2011

3. Issuer Name **and** Ticker or Trading Symbol  
BEAM INC [NYSE: BEAM]

4. Relationship of Reporting  
Person(s) to Issuer

5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer \_\_\_ Other  
(give title below) (specify below)  
SVP & President, NA

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting  
Person  
\_\_\_ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not  
required to respond unless the form displays a  
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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

Date Expiration  
Exercisable Date

3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

Title Amount or  
Number of  
Shares

4. Conversion  
or Exercise  
Price of  
Derivative  
Security

5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)  
or Indirect  
(I)

6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

(Instr. 5)

Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(2)</sup>	02/25/2015	Beam Inc. Common Stock	25,000	\$ 80.95	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(2)</sup>	02/25/2015	Beam Inc. Common Stock	25,000	\$ 78.25	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(2)</sup>	02/25/2015	Beam Inc. Common Stock	25,000	\$ 74.39	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(3)</sup>	09/29/2015	Beam Inc. Common Stock	30,000	\$ 57.01	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(4)</sup>	09/30/2016	Beam Inc. Common Stock	23,333	\$ 42.98	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(5)</sup>	02/22/2017	Beam Inc. Common Stock	23,333	\$ 43.67	D	Â
Employee Stock Option (Right to Buy) <sup>(1)</sup>	Â <sup>(6)</sup>	02/22/2021	Beam Inc. Common Stock	20,000	\$ 61.54	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Newlands William A BEAM INC. 510 LAKE COOK ROAD DEERFIELD, IL 60015	Â	Â	Â SVP & President, NA	Â

## Signatures

Todd M. Bloomquist, as Attorney-in-Fact for William A.  
Newlands

10/06/2011

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

As a result of the Issuer's spin-off of Fortune Brands Home & Security, Inc. on October 3, 2011, these securities are subject to an intrinsic value adjustment as described in the Issuer's Long-Term Incentive Plans and the Employee Matters Agreement dated as of September 28, 2011.

(1) The options vested in three equal annual installments beginning on February 25, 2009.

(2) The options vested in three equal annual installments beginning on September 29, 2009.

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- (4) The options vested as to 11,667 shares on September 30, 2011 and will vest as to the remaining 11,666 shares on September 30, 2012.
- (5) The options vest in two equal annual installments beginning on February 22, 2012.
- (6) The options vest in three equal annual installments beginning on February 22, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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