#### **IMURA KIMIHIKO**

Form 4 January 07, 2011

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **IMURA KIMIHIKO** 

(First) (Middle)

2051 PALOMAR AIRPORT ROAD, SUITE 100

(Street)

MAXLINEAR INC [MXL] 3. Date of Earliest Transaction

Symbol

(Month/Day/Year)

12/28/2010

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner X\_ Officer (give title Other (specify

below) below)

VP, Semiconductor Tech & Ops

6. Ownership

Form: Direct

(D) or Indirect Beneficial

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(I)

(Instr. 4)

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

CARLSBAD, CA 92011

(City) (State) (Zip)

1. Title of 2. Transaction Date 2A. Deemed Security (Instr. 3)

(Month/Day/Year)

Execution Date, if

(Month/Day/Year)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Code (Instr. 8)

TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

Code V Amount (D) Price

(A)

4. Securities

5. Amount of Securities Beneficially Owned Following

Reported Transaction(s) (Instr. 3 and 4)

SEC 1474

7. Nature of

Ownership

(Instr. 4)

Indirect

Persons who respond to the collection of information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number of TransactionDerivative Securities Code Acquired (A) or

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amo Underlying Secu (Instr. 3 and 4)

### Edgar Filing: IMURA KIMIHIKO - Form 4

(Instr. 3)	Price of Derivative		(Month/Day/Year)	(Instr. 8)		Disposed of (D) (Instr. 3, 4, and 5)					
	Security			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Ar Nu Sh
Class B Common Stock (convertible into Class A Common Stock) (1)	<u>(I)</u>	12/28/2010		G(2)	V		229,625	<u>(1)</u>	<u>(1)</u>	Class A Common Stock (\$0.0001 par value)	22
Class B Common Stock (convertible into Class A Common Stock) (1)	<u>(1)</u>	12/28/2010		G(2)	V	229,625		<u>(1)</u>	<u>(1)</u>	Class A Common Stock (\$0.0001 par value)	22

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

IMURA KIMIHIKO 2051 PALOMAR AIRPORT ROAD SUITE 100 CARLSBAD, CA 92011

VP, Semiconductor Tech & Ops

## **Signatures**

/s/ Patrick E. McCready, by power of attorney

01/07/2011

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each share of Class B Common Stock is convertible into one share of Class A Common Stock, which is the publicly traded stock, upon certain transfers and at the option of the holder. On the seventh anniversary of the closing of the Registrant's initial public offering (March 29, 2017), the Class B Common Stock and the Class A Common Stock will automatically convert into a single class of Common Stock.
- (2) Represents a transfer of shares for no consideration from the Reporting Person to the Kimihiko Imura and Yoshiko Imura Trust UA 09/23/2010, for which the Reporting Person and the Reporting Person's spouse serve as trustees.
- (3) Shares held by the Kimihiko Imura and Yoshiko Imura Trust UA 09/23/2010, for which the Reporting Person and the Reporting Person's spouse serve as trustees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2