## Edgar Filing: Joelson Ronald Peter - Form 4

Joelson Rona	ld Peter										
Form 4 February 12,	2010										
•	ГЛ								PPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235-0287		
Check thi if no long	er					Expires:	January 31, 2005				
subject to Section 16. Form 4 or							WNERSHIP OF	Estimated averag burden hours per response			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type R	(esponses)										
Joelson Ronald Peter Symbol					Issuer			f Reporting Person(s) to			
			GENW	ORTH FI	INANCI	AL INC	(Check all applicable)				
(Last)		Middle)	(Month/I	of Earliest T Day/Year)	ransaction Director X Officer (give below)			e title 10% Owner Other (specify below)			
	ORTH FINANC		02/10/2	2010			SVP - Chief Investment Officer				
(Street) 4. If Amendment, D Filed(Month/Day/Yea											
RICHMONI	D, VA 23230						Form filed by Person	More than One R	eporting		
(City) (State) (Zip) <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>											
	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Repo	ort on a separate line	e for each cla	ass of sec	urities benet	ficially ow	ned directly	or indirectly.				
Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.											
	Tab					posed of, or convertible	Beneficially Owned	1			

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A Disposed of (Instr. 3, 4, 5)	f (D)	(Month/Day/Year)		(Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Settled SARs	\$ 14.18	02/10/2010		А	100,000		<u>(1)</u>	02/10/2020	Class A Common Stock	100,00
Restricted Stock Units	<u>(2)</u>	02/10/2010		А	26,900		(3)	(3)	Class A Common Stock	26,900

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Joelson Ronald Peter C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230			SVP - Chief Investment Officer				
Signatures							
/s/ Richard J. Oelhafen, Jr., by power of attorney		02/12/2	2010				

## **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Vests annually in one-fourth increments beginning on 2/10/2011.
- (2) Restricted Stock Units settle in Class A Common Stock on a 1:1 basis.
- (3) Restricted Stock Units reported on this Form 4 vest and convert to Class A Common Stock: 50% on 2/10/2011 and 50% on 2/10/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.