#### Edgar Filing: AMERICAN STATES WATER CO - Form 3

#### AMERICAN STATES WATER CO

Form 3

November 09, 2007

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AMERICAN STATES WATER CO [AWR]  **CHANG SHENGDER** (Month/Day/Year) **DAVID** 10/29/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 22872 CAMINITO ORO (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting X\_ Officer Other Person LAGUNA HILLS, CAÂ 92653 (give title below) (specify below) Form filed by More than One VP ENVIRONMENTAL Reporting Person **QUALITY** (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Ownership (Instr. 4) Beneficially Owned Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â 7 D Common Common 2,126.1399 I 401k Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee stock options	01/01/2003(1)	01/31/2012	Common	750	\$ 23.15	D	Â
Employee stock options	02/02/2004(2)	02/01/2014	Common	750	\$ 25.55	D	Â
Employee stock options	01/03/2005(3)	01/02/2015	Common	750	\$ 25.92	D	Â
Employee stock options	01/30/2006(4)	01/29/2016	Common	750	\$ 33.73	D	Â
Employee stock options	01/02/2007(5)	01/01/2017	Common	750	\$ 38.62	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
Topozonia o Willer Manie / Manie oss	Director	10% Owner	Officer	Other
CHANG SHENGDER DAVID 22872 CAMINITO ORO LAGUNA HILLS, CA 92653	Â	Â	VP ENVIRONMENTAL QUALITY	Â

## **Signatures**

/s/ Shengder D.
Chang

\*\*Signature of Reporting Person

11/09/2007

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in 3 annual installments of 33%, 33% and 34% of the number of shares subject to the option beginning January 1, 2004
- (2) The option vests in 3 annual installments of 33%, 33% and 34% of the number of shares subject to the option beginning February 2, 2005
- (3) The option vests in 3 annual installments of 33%, 33% and 34% of the number of shares subject to the option beginning January 2, 2006
- (4) The option vests in 3 annual installments of 33%, 33% and 34% of the number of shares subject to the option beginning January 29, 2007
- (5) The option vests in 3 annual installments of 33%, 33% and 34% of the number of shares subject to the option beginning Jan. ;1, 2008 Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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