Cara Therapeutics, Inc. Form 4

April 03, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * RHO Ventures VI LP

2. Issuer Name and Ticker or Trading Symbol

Cara Therapeutics, Inc. [CARA]

Issuer

(Last)

(Middle)

3. Date of Earliest Transaction

Director Officer (give title

below)

10% Owner Other (specify

152 WEST 57TH STREET, 23RD

(First)

(Street)

(Month/Day/Year)

FLOOR

Security

(Instr. 3)

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

03/31/2017

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Applicable Line)

Form filed by One Reporting Person X Form filed by More than One Reporting

(I)

(Instr. 4)

NEW YORK, NY 10019

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

3. 4. Securities Acquired (A) or 5. Amount of TransactiorDisposed of (D) Execution Date, if Code (Instr. 3, 4 and 5)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Securities Beneficially Owned

Following

7. Nature of Ownership Form: Direct (D) or Indirect

Indirect Beneficial Ownership (Instr. 4)

(Month/Day/Year) (Instr. 8)

> (A) or (D) Amount

Code V

Reported Transaction(s) (Instr. 3 and 4) Price

See

Common Stock

03/31/2017

P 500,000

3,568,057 I

Footnote (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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9. Nu Deriv Secu Bene Own

Follo Repo Trans (Insti

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
RHO Ventures VI LP 152 WEST 57TH STREET, 23RD FLOOR NEW YORK, NY 10019		X					
RMV VI, L.L.C. 152 WEST 57TH STREET, 23RD FLOOR NEW YORK, NY 10019		X					
Rho Capital Partners LLC 152 WEST 57TH STREET, 23RD FLOOR NEW YORK, NY 10019		X					
LESCHLY MARK 152 WEST 57TH STREET, 23RD FLOOR NEW YORK, NY 10019		X					
KAIROUZ HABIB 152 WEST 57TH STREET, 23RD FLOOR NEW YORK, NY 10019		X					
RUCH JOSHUA 152 WEST 57TH STREET, 23RD FLOOR NEW YORK, NY 10019		X					
Signatures							

/s/ Jeffrey I. Martin, Attorney-in-fact	03/31/2017
**Signature of Reporting Person	Date
/ s/ Jeffrey I. Martin, Attorney-in-fact	03/31/2017
**Signature of Reporting Person	Date

Reporting Owners 2 Edgar Filing: Cara Therapeutics, Inc. - Form 4

03/31/2017 s/ Jeffrey I. Martin Atty In Fact

**Signature of Reporting Person Date

/ s/ Jeffrey I. Martin, Attorney

03/31/2017 in fact

**Signature of Reporting Person

03/31/2017 /s/ Jeffrey Martin

**Signature of Reporting Person Date

s/ Jeffrey I. Martin

03/31/2017 Attorney-In-Fact

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

Date

These securities are owned directly by Rho Ventures VI, L.P. ("RV VI"). RMV VI, L.L.C. ("RMV") is the general partner of RV VI and Rho Capital Partners LLC ("RCP") is the managing member of RMV. Mark Leschly, Habib Kairouz and Joshua Ruch are managing **(1)** members of RCP and exercise shared voting and investment power with respect to the shares owned by RV VI. Each of the reporting persons disclaims beneficial ownership of such securities, except to the extent of his or its respective pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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