National Bank Holdings Corp Form 3 January 09, 2017 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549 OMB

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL

3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Randall Christopher S.			2. Date of Event Requiring Statement (Month/Day/Year)	<sup>3</sup> 3. Issuer Name <b>and</b> Ticker or Trading Symbol National Bank Holdings Corp [NBHC]			
(Last)	(First)	(Middle)	01/01/2017	4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)	
7800 EAST ORCHARD ROAD, SUITE 300				(Check	all applicable)	)	
	(Street)			.e		ow)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person
GREENWOOD VILLAGE, CO 80111				Banking		Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - I	Non-Derivat	tive Securiti	ies Be	neficially Owned
1.Title of Secur (Instr. 4)	ity		2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	•
Common Sto	ock		8,699 <u>(1)</u>		D	Â	
Reminder: Report on a separate line for each class of securities be owned directly or indirectly.			ach class of securities benefic	<sup>ially</sup> S	EC 1473 (7-02	2)	
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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security 2. Date Exercisable and   (Instr. 4) Expiration Date   (Month/Day/Year) (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		(Instr. 4)	Price of	Derivative	
		TT: 41	Derivative	Security:	
	Title		Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(2)	08/08/2023	Common Stock	7,500	\$ 20.54	D	Â
Employee Stock Option (Right to Buy)	(3)	04/29/2024	Common Stock	1,400	\$ 18.92	D	Â
Employee Stock Option (Right to Buy)	(4)	04/28/2025	Common Stock	3,626	\$ 19.08	D	Â
Employee Stock Option (Right to Buy)	(5)	09/01/2025	Common Stock	4,543	\$ 19.85	D	Â

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Randall Christopher S. 7800 EAST ORCHARD ROAD, SUITE 300 GREENWOOD VILLAGE, CO 80111	Â	Â	EVP, Comm. & Specialty Banking	Â		
Signatures						

/s/ Christopher S.	01/09/2017
Randall	01/09/2017

Date <u>\*\*</u>Signature of Reporting Person

# Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Total includes 5,914 shares of unvested restricted stock granted under either the NBHC 2009 Equity Incentive Plan or 2014 Omnibus Incentive Plan. Vesting of said shares is contingent upon continued employment, and is scheduled as follows: (i) 1,208 will vest on April

- (1) 28, 2017; (ii) 467 will vest on April 29, 2017; (iii) 1,514 will vest on October 1, 2017; (iv) 1,210 will vest on April 28, 2018; and (v) 1,515 will vest on October 1, 2018.
- Granted under the NBHC 2009 Equity Incentive Plan. Stock option award is subject to continued employment, is time vesting, and vests (2)in two equal annual installments, the first of which occurred on May 1, 2016.
- Granted under the NBHC 2009 Equity Incentive Plan. Stock option award is subject to continued employment, is time vesting, and vests (3) in three equal annual installments, the first of which occurred on April 29, 2015.
- Granted under the NBHC 2014 Omnibus Incentive Plan. Stock option award is subject to continued employment, is time vesting, and (4) vests in three equal annual installments, the first of which occurred on April 28, 2016.
- Granted under the NBHC 2014 Omnibus Incentive Plan. Stock option award is subject to continued employment, is time vesting, and (5) vests in three equal annual installments, the first of which occurred on October 1, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.