ALERE INC. Form 4 January 04, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

Form 5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Pelossof Avi

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

ALERE INC. [ALR]

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Middle)

(First)

(Street)

(Ctota)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Other (specify \_X\_\_ Officer (give title

12/30/2016

below)

51 SAWYER ROAD, SUITE 200

GlobalPres, Infectious Disease

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

WALTHAM, MA 02453

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative (	Securi	ties Acqu	ired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/30/2016		Code V M	Amount 20,000	(D)	Price \$ 38.7	35,524	D	
Common Stock	12/30/2016		F	19,903 (3)	D	\$ 39	15,621	D	
Common Stock	12/30/2016		M	9,668	A	\$ 38.7	25,289	D	
Common Stock	12/30/2016		F	9,627 (3)	D	\$ 38.96	15,662	D	
Common Stock	12/30/2016		M	10,332	A	\$ 38.7	25,994	D	

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Common Stock 12/30/2016  $F = \frac{10,263}{\frac{(3)}{3}} D = \frac{\$}{38.96} 15,731 D$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Number of Share
Employe Stock Option (right to buy)	\$ 38.7	12/30/2016		M	20,000	<u>(1)</u>	01/01/2017(4)	Common Stock	20,00
Employe Stock Option (right to buy)	s \$ 38.7	12/30/2016		M	9,668	<u>(1)</u>	01/01/2017(4)	Common Stock	9,668
Employe Stock Option (right to buy)	e \$ 38.7	12/30/2016		M	10,332	<u>(1)</u>	01/01/2017(4)	Common Stock	10,331

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
•	Director	10% Owner	Officer	Other				
Pelossof Avi 51 SAWYER ROAD, SUITE 200 WALTHAM, MA 02453			GlobalPres, Infectious Disease					

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## **Signatures**

/s/ Douglas Barry, Attorney-in-Fact 01/04/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options became exercisable in four equal annual installments beginning January 1, 2007.
- (2) This derivative security does not have a price.
- (3) Represents withholding of shares of Company common stock to satisfy exercise price and tax liability associated with exercise of stock options shown above.
- (4) The Options were scheduled to expire on January 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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