

AES CORP
Form 3
March 28, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Woodruff Mark

(Last) (First) (Middle)

4300 WILSON BOULEVARD

(Street)

ARLINGTON,Â VAÂ 22203

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/19/2007

3. Issuer Name **and** Ticker or Trading Symbol
AES CORP [AES]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
EVP and Reg Pres Asia & ME

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

36,254

I by 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Expiration
Exercisable Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	12,723	\$ <u>(1)</u>	D	Â
Restricted Stock Units	Â <u>(2)</u>	Â <u>(2)</u>	Common Stock	6,172	\$ <u>(2)</u>	D	Â
Restricted Stock Units	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	6,492	\$ <u>(3)</u>	D	Â
Restricted Stock Units	Â <u>(4)</u>	Â <u>(4)</u>	Common Stock	6,171	\$ <u>(4)</u>	D	Â
Stock Option Grant (Right to buy)	Â <u>(5)</u>	12/03/2007	Common Stock	9,666	\$ 19.5	D	Â
Stock Option Grant (Right to buy)	Â <u>(5)</u>	02/02/2009	Common Stock	7,112	\$ 17.13	D	Â
Stock Option Grant (Right to buy)	Â <u>(5)</u>	02/04/2010	Common Stock	5,474	\$ 36.31	D	Â
Stock Option Grant (Right to buy)	Â <u>(5)</u>	01/31/2011	Common Stock	11,714	\$ 55.61	D	Â
Stock Option Grant (Right to buy)	Â <u>(5)</u>	10/25/2011	Common Stock	60,545	\$ 13.19	D	Â
Stock Option Grant (Right to buy)	Â <u>(5)</u>	02/12/2013	Common Stock	54,901	\$ 2.83	D	Â
Stock Option Grant (Right to buy)	Â <u>(5)</u>	05/01/2013	Common Stock	7,487	\$ 2.83	D	Â
Stock Option Grant (Right to buy)	Â <u>(5)</u>	02/04/2014	Common Stock	15,555	\$ 8.97	D	Â
Stock Option Grant (Right to buy)	Â <u>(6)</u>	02/25/2015	Common Stock	9,037	\$ 16.81	D	Â
Stock Option Grant (Right to buy)	Â <u>(7)</u>	02/24/2016	Common Stock	13,200	\$ 17.58	D	Â
Stock Option Grant (Right to buy)	Â <u>(8)</u>	02/23/2017	Common Stock	13,520	\$ 22.28	D	Â
Units	Â <u>(9)</u>	Â <u>(9)</u>	Common Stock	1,843	\$ <u>(9)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Woodruff Mark 4300 WILSON BOULEVARD ARLINGTON, VA 22203	Â	Â	Â EVP and Reg Pres Asia & ME	Â

Signatures

Mark Woodruff

03/28/2007

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This restricted stock unit ("RSU") award was granted pursuant to The AES Corporation 2003 Long Term Compensation Plan and vests in three equal annual installments beginning on February 4, 2005 if certain continuing employment and performance conditions are satisfied. If such conditions are satisfied, each RSU entitles the holder to one share of AES Common stock or cash equivalent at the discretion of The AES Corporation on or within 60 days from February 4, 2009.

(2) This restricted stock unit ("RSU") award was granted pursuant to The AES Corporation 2003 Long Term Compensation Plan and vests in three equal annual installments beginning on February 25, 2006 if certain continuing employment and performance conditions are satisfied. If such conditions are satisfied, each RSU entitles the holder to one share of AES Common stock or cash equivalent at the discretion of The AES Corporation on or within 60 days from February 25, 2010.

(3) This restricted stock unit ("RSU") award was granted pursuant to The AES Corporation 2003 Long Term Compensation Plan and vests in three equal annual installments beginning on February 24, 2007 if certain continuing employment and performance conditions are satisfied. If such conditions are satisfied, each RSU entitles the holder to one share of AES Common stock or cash equivalent at the discretion of The AES Corporation on or within 60 days from February 24, 2011.

(4) This restricted stock unit ("RSU") award was granted pursuant to The AES Corporation 2003 Long Term Compensation Plan and vests in three equal annual installments beginning on February 23, 2008 if certain continuing employment and performance conditions are satisfied. If such conditions are satisfied, each RSU entitles the holder to one share of AES Common stock or cash equivalent at the discretion of The AES Corporation on or within 60 days from February 23, 2012.

(5) Immediately exercisable.

(6) These stock options vest in three equal annual installments such that one third vested on February 25, 2006, one third vested on February 25, 2007 and the final third will vest on February 25, 2008.

(7) These stock options vest in three equal annual installments such that one third vested on February 24, 2007, one third will vest on February 24, 2008, and the final third will vest on February 24, 2009.

(8) These stock options vest in three equal annual installments such that one third will vest on February 23, 2008, one third will vest on February 23, 2009 and the final third will vest on February 23, 2010.

(9) These units were awarded pursuant to the Restoration Supplemental Retirement Plan ("Restoration Plan"). The total number of units reflects the total of this award under the Restoration Plan and the existing balances in both the Restoration Plan and The AES Corporation Supplemental Retirement Plan (the "Plan"). The Plan was frozen in 2004 and no subsequent contributions have been made to it. Each unit is initially equal to one share of AES Common stock and units under the plans are 100% vested upon award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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