## Edgar Filing: Dorward-King Elaine J - Form 4

Dorward-Ki Form 4	ng Elaine J										
September 0	6, 2018										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549								COMMISSION	OMB APPROVAL OMB 3235-028 Number:		
Check th if no lon, subject to Section 1 Form 4 of Form 5 obligation may con <i>See</i> Instr 1(b).	ger o 16. or Filed ns tinue.	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							Expires:January 31, 2005Estimated average burden hours per response0.5		
(Print or Type	Responses)										
			2. Issuer Name <b>and</b> Ticker or Trading Symbol NEWMONT MINING CORP /DE/ [NEM]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 6363 SOUTH FIDDLERS GREEN CIRCLE			3. Date of (Month/D 09/04/2		ransaction			Director       10% Owner         Officer (give title       Other (specify below)         below)       EVP, S&ER			
			endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
GREENWO VILLAGE,								Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		action Date 2A. Deemed Day/Year) Execution Date, if any (Month/Day/Year)			4. Securi on(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock, \$1.60 par value	09/04/2018			Code V	Amount 3,000 (1)	(D) D	Price \$ 30.53	105,831	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	etionN G) I S A ( I C (	5. Numbe of Deriva Securit Acquir (A) or Dispos of (D) (Instr. 2 4, and 2	tive ties red sed 3,	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying Se (Instr. 3 and 4	ecurities	8. Price of Derivativ Security (Instr. 5)
			Code	V (	(A) (l	D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(2)</u>	08/15/2018	J <u>(3)</u>	V		34 (4)	(3)	(3)	Commom Stock	34 (4)	\$ 33.0

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Dorward-King Elaine J 6363 SOUTH FIDDLERS GREEN CIRCLE GREENWOOD VILLAGE, CO 80111			EVP, S&ER				
Signatures							
Lesen II Hennesser, as attended in fact for E	1 alma						

Logan H. Hennessey, as attorney-in-fact for Elaine Dorward-King

\*\*Signature of Reporting Person

**Explanation of Responses:** 

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan.
- (2) Convertible on a 1-for-1 basis.

Shares of phantom stock, payable in cash under the terms of the Savings Equalization Plan of the Corporation, were reallocated following(3) the elimination of the Newmont Stock Fund as an investment option under the Savings Equalization Plan. No volitional sales or transfers of Newmont securities were made by the reporting person in connection with the above reported change in ownership.

09/06/2018

Date

The number of phantom stock reported is based on the Corporation's estimation of the share value correlated with the number of units in the Savings Equalization Plan of the Corporation. Fluctuation in share estimation since the filing of the Form 4 reporting the acquisition

(4) the savings Equalization Plan of the Corporation. Pluctuation in share estimation since the rining of the Point 4 reporting the acquisition of the phantom stock reflects changes in the stock price rather than investments or dispositions of units within the Savings Equalization Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.