

ANNALY CAPITAL MANAGEMENT INC

Form 8-K

November 02, 2016

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UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported)

November 2, 2016

Annaly Capital Management, Inc.

(Exact Name of Registrant as Specified in its Charter)

Maryland

State or Other Jurisdiction (Commission (I.R.S. Employer  
Of Incorporation)

1-13447 22-3479661

File Number) Identification No.)

1211 Avenue of the Americas

New York, New York

10036

(Address of Principal  
Executive Offices)

(Zip Code)

Registrant's telephone number, including area code: (212) 696-0100

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 2.02. Results of Operations and Financial Condition

On November 2, 2016, the registrant issued a press release announcing its financial results for the quarter ended September 30, 2016. A copy of the press release is furnished as Exhibit 99.1 to this report. In addition, the registrant posted a financial summary on the Investors section of its website ([www.annaly.com](http://www.annaly.com)). A copy of the financial summary is furnished as Exhibit 99.2 to this report and incorporated herein by reference.

Item 9.01 Financial Statements and Exhibits

(d) Exhibits

99.1 Press Release, dated November 2, 2016, issued by Annaly Capital Management, Inc.

99.2 Third Quarter 2016 Financial Summary

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ANNALY CAPITAL  
MANAGEMENT, INC.

By: /s/ Glenn A. Votek  
Name: Glenn A. Votek  
Title: Chief Financial Officer

Dated: November 2, 2016