

Sammann Derek  
Form 5  
February 06, 2018

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
Sammann Derek

2. Issuer Name and Ticker or Trading Symbol  
CME GROUP INC. [CME]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Sr MD Gl Hd Commodity & Option

(Last) (First) (Middle)  
  
20 S. WACKER DRIVE  
  
(Street)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting (check applicable line)

CHICAGO, IL 60606

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Amount	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock Class A	12/20/2017		G <sup>(1)</sup>	370	D	\$ 0	37,383	D		
Common Stock Class A	12/21/2017		G <sup>(1)</sup>	185	D	\$ 0	37,198	D		
Common Stock Class A	12/26/2017		J <sup>(2)</sup>	10,724	D	\$ 0	26,474	D		

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Common Stock Class A	12/27/2017	Â	G <sup>(3)</sup>	12,540	D	\$ 0	13,934	D	Â
Common Stock Class A	12/20/2017	Â	G <sup>(1)</sup>	370	A	\$ 0	1,045	I	by Children
Common Stock Class A	12/21/2017	Â	G <sup>(1)</sup>	185	A	\$ 0	1,230	I	by Children
Common Stock Class A	12/27/2017	Â	G <sup>(3)</sup>	12,540	A	\$ 0	12,540	I	by Spouse
Common Stock Class A	12/26/2017	Â	J <sup>(2)</sup>	10,724	A	\$ 0	10,724	I	by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D Se B O E Is Fi (I
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares		

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Sammann Derek 20 S. WACKER DRIVE CHICAGO, IL 60606	Â	Â	Â Sr MD Gl Hd Commodity & Option	Â

## Signatures

By: Margaret Austin Wright For: Derek Louis  
Sammann

02/06/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction represented a gift of securities from the reporting person to his children, who share the reporting person's household. The

- (1) reporting person disclaims beneficial ownership of the shares held by his children, and this report shall not be deemed as an admission that the reporting person is the beneficial owner of his children's shares for purposes of Section 16 or for any other purpose.
- (2) Ownership has been updated to reflect the transfer of CME Group Class A common stock to reporting person's revocable living trust.

This transaction represented a gift of securities from the reporting person to his spouse, who shares the reporting person's household. The

- (3) reporting person disclaims beneficial ownership of the shares held by his spouse, and this report shall not be deemed as an admission that the reporting person is the beneficial owner of his spouse's shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.