#### Edgar Filing: COMMITTED CAPITAL ACQUISITION Corp - Form 4

#### COMMITTED CAPITAL ACQUISITION Corp

Form 4

October 18, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person
WAGENHEIM PHILIP

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

**COMMITTED CAPITAL** ACQUISITION Corp [CCAC]

(Check all applicable)

(Last)

share (1) (2)

(First)

(Middle)

(Zin)

3. Date of Earliest Transaction

\_X\_\_ Director Officer (give title

10% Owner Other (specify

C/O BROADBAND CAPITAL MANAGEMENT LLC, 712 FIFTH

(Street)

**AVENUE 22ND FLOOR** 

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

10/07/2013

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

NEW YORK, NY 10019

(City)	(State) (2	le I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	v	
Common Stock, par value \$0.0001 per share (1) (2)	10/07/2013		Code V	Amount 50,000	(A) or (D)	Price (1) (2)	Transaction(s) (Instr. 3 and 4) 472,551	D	
Common Stock, par value \$0.0001 per	10/11/2013		J	45,000	D	<u>(1)</u> <u>(2)</u>	427,551	D	

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Common Stock, par

value 10/16/2013 P 42,612 A \$ 5 470,163 D

\$0.0001 per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WAGENHEIM PHILIP C/O BROADBAND CAPITAL MANAGEMENT LLC 712 FIFTH AVENUE 22ND FLOOR NEW YORK, NY 10019



### **Signatures**

/s/ Philip

Wagenheim 10/18/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- Mr. Wagenheim contributed 95,000 shares of common stock to Committed Capital Holdings LLC in transactions occurring on October 7, 2013 and October 11, 2013. As a result of these contributions and a private purchase of 87,949 shares of common stock, par value \$0.0001 per share, by Committed Capital Holdings LLC on October 16, 2013, Committed Capital Holdings LLC is the owner of 967,435
- (1) shares of common stock. As a result of a reallocation of the membership interests in Committed Capital Holdings LLC on October 7, 2013 and October 11, 2013, Mr. Wagenheim holds 0.1034% of the membership interests in Committed Capital Holdings LLC, which is equivalent to an indirect pecuniary interest in 1,000 shares of common stock owned by Committed Capital Holdings LLC. (Continued in Footnote 2)
- (Continued from Footnote 1) Mr. Wagenheim does not exercise voting or dispositive power over the shares of common stock held by (2) Committed Capital Holdings LLC and does not have a pecuniary interest in the shares of common stock held by Committed Capital Holdings LLC above his membership interests in Committed Capital Holdings LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.