

Horizon Technology Finance Corp
Form 8-K
June 28, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

**Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): June 28, 2013

**HORIZON TECHNOLOGY FINANCE
CORPORATION**

(Exact name of registrant as specified in its charter)

Delaware	814-00802	27-2114934
(State or other jurisdiction of incorporation)	(Commission File Number)	(I.R.S. Employer Identification No.)

**312 Farmington Avenue
Farmington, CT 06032**

Edgar Filing: Horizon Technology Finance Corp - Form 8-K

(Address of principal executive offices and zip code)

Registrant's telephone number, including area code: **(860) 676-8654**

Check the appropriate box below if the Form 8-K is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- “ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- “ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- “ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- “ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Section 5 Corporate Governance and Management

Item 5.07 Submission of Matters to a Vote of Security Holders.

On June 28, 2013, Horizon Technology Finance Corporation (the “Company”) reconvened and held a special meeting of stockholders originally convened and adjourned on June 14, 2013 (the “Special Meeting”). At the Special Meeting, the Company’s stockholders considered a proposal to authorize the Company, with the approval of the Board of Directors, to sell shares of its common stock during the next 12 months, at a price below its then current net asset value per share, in one or more offerings, subject to certain conditions as set forth in the proxy statement. The proposal is described in detail in the Company’s definitive proxy statement for the Special Meeting as filed with the Securities and Exchange Commission on May 2, 2013. As of April 16, 2013, the record date for the Special Meeting, 9,578,312 shares of common stock were eligible to vote. The Company’s stockholders approved the proposal pursuant to the voting results set forth below:

	For	Against	Abstain
All Votes Cast	4,558,160	866,365	155,571
All Votes Cast Excluding Votes Cast by Affiliates	3,218,699	866,365	155,571

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: June 28, 2013 HORIZON TECHNOLOGY
FINANCE CORPORATION

By: /s/ Robert D. Pomeroy, Jr.
Robert D. Pomeroy, Jr.
Chief Executive Officer and

Chairman of the Board