Edgar Filing: FINNERTY J JAMES - Form 4

FINNERTY J	I JAMES										
Form 4											
June 18, 2010)										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL		
CUNIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check this								Expires:	January 31,		
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNER					NERSHIP OF	Estimated a	2005				
Section 16								burden hours per			
Form 4 or								response 0.5			
Form 5 obligation		uant to Section 1				-					
may conti) of the Public Ut	•	.				n			
<i>See</i> Instru 1(b).	ction	30(h) of the In	vestment	Company	' Act	of 194	10				
(Print or Type R	esponses)										
1. Name and Ad FINNERTY	Symbol	-				5. Relationship of Reporting Person(s) to Issuer					
	ENERG	ENERGY FOCUS, INC/DE [EFOI]				(Check all applicable)					
(Last)	(First) (M	iddle) 3. Date of	Earliest Tra	ansaction					,		
			(Month/Day/Year)				XDirector		Owner		
32000 AURO	06/08/2	010				Officer (give titleOther (specifybelow)below)					
	4. If Ame	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check					
		Filed(Month/Day/Year)				Applicable Line)					
SOLON, OH	[44139							X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State) (Z	Zip) Tabl									
(City)	(State) (A	Tabl	e I - Non-Do	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned		
1.Title of	2. Transaction Date		3. 4. Securities Acquired			Securities I Beneficially (6. Ownership	7. Nature of Indirect Beneficial Ownership			
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any	Transaction(A) or Disposed of Code (D)				Form: Direct (D) or				
(11541. 5)		(Month/Day/Year)	(Instr. 8) (Instr. 3, 4 and 5)				Indirect (I) (Instr. 4)				
						Following		(Instr. 4)			
					(A)		Reported Transaction(s)				
					or		(Instr. 3 and 4)				
Common			Code V	Amount	(D)	Price					
Common Stock	06/08/2010	06/08/2010	S	20,000	D	\$ 1.1	53,385	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	of Deri Secu Acq (A) Disp of (I (Ins	vativo urities uired or oosed	Expiration Date (Month/Day/Year) e		7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	7 (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Addres	Relationships							
	Director	10% Owner	Officer	Other				
FINNERTY J JAMES 32000 AURORA ROAD SOLON, OH 44139	Х							
Signatures								
/s/ J. James Finnerty	06/18/2010							
<u>**</u> Signature of Reporting Person	Date							

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.