#### Edgar Filing: OCCIDENTAL PETROLEUM CORP /DE/ - Form 4

#### OCCIDENTAL PETROLEUM CORP /DE/

Form 4 July 13, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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Estimated average response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Elliott Joseph C.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

OCCIDENTAL PETROLEUM

(Check all applicable)

Senior Vice President

CORP /DE/ [OXY]

3. Date of Earliest Transaction (Month/Day/Year)

07/11/2017

Director 10% Owner X\_ Officer (give title Other (specify below)

OCCIDENTAL PETROLEUM CORPORATION, 5 GREENWAY

(Street)

(First)

(Middle)

PLAZA, STE. 110

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HOUSTON, TX 77046

(City)	(State)	Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or D: (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	07/11/2017		A	2,585	A	\$ 0	23,725	D	
Common Stock	07/11/2017		F	928	D	\$ 58.94	22,797	D	
Common Stock	07/12/2017		F	1,803	D	\$ 59.16	20,994	D	
Common Stock							4,699 (1)	I	By OPC Savings Plan

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** 

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.		6. Date Exerc	cisable and	7. Title	e and	8. Price of	
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionN	lumber	Expiration D	ate	Amou	nt of	Derivative	
	Security	or Exercise		any	Code	0	f	(Month/Day/	Year)	Under	lying	Security	
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	8) D	<b>D</b> erivative	•		Securi	ties	(Instr. 5)	
		Derivative				Securities			(Instr. 3 and 4)				
Security						Acquired							
						(1	A) or						
						D	Disposed						
						0	f (D)						
						(I	Instr. 3,						
						4	, and 5)						
											A		
											Amount		
								Date	Expiration		or		
								Exercisable	le Date	Title Number			
				G 1	• • •	(5)				of			
					Code	V (	A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Elliott Joseph C.
OCCIDENTAL PETROLEUM CORPORATION
5 GREENWAY PLAZA, STE. 110
HOUSTON, TX 77046

Senior Vice President

### **Signatures**

/s/ Nicole E. Clark, Attorney-in-Fact for Joseph C.
Elliott 07/13/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Based on a plan statement dated July 7, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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