BERRY GLOBAL GROUP INC

Form 4 May 17, 2017

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

burden hours per response...

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * RICKERTSEN CARL J

(First)

(Street)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

BERRY GLOBAL GROUP INC

(Check all applicable)

[BERY]

(Last)

(Middle)

3. Date of Earliest Transaction

_X__ Director

10% Owner Other (specify

(Month/Day/Year)

05/15/2017

Officer (give title below)

101 OAKLEY STREET

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

EVANSVILLE, IN 47710

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acquir	red, Disposed of,	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/15/2017		Code V M	Amount 15,119	(D)	Price \$ 17.59	15,119	D	
Common Stock	05/15/2017		S	15,119	D	\$ 56.2624 (1)	0	D	
Common Stock	05/16/2017		M	381	A	\$ 17.59	381	D	
Common Stock	05/16/2017		S	381	D	\$ 56.4488 (3)	0	D	
	05/16/2017		M	14,000	A	\$ 21	14,000	D	

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Common Stock

Common Stock S 14,000 D \$ 56.4488 0 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (Right to Buy)	\$ 17.59	05/15/2017		D	15,119	<u>(2)</u>	01/21/2023	Common Stock	15,119
Employee Stock Option (Right to Buy)	\$ 17.59	05/16/2017		D	381	<u>(2)</u>	01/21/2023	Common Stock	381
Employee Stock Option (Right to Buy)	\$ 21	05/16/2017		D	14,000	(2)	11/25/2023	Common Stock	14,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
	v						

Reporting Owners 2

RICKERTSEN CARL J 101 OAKLEY STREET EVANSVILLE, IN 47710

Signatures

Jason K. Greene, as attorney in fact

05/17/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Weighted average from 11 transactions with prices ranging from \$56.25 to \$56.30 per share. Upon request by the Commission Staff, the
- (1) issuer, or a security holder of the issuer, the reporting person will undertake to provide full information regarding the number of shares purchased at each separate price.
- (2) Option fully vested.
- Weighted average from 16 transactions with prices ranging from \$56.40 to \$56.53 per share. Upon request by the Commission Staff, the
- (3) issuer, or a security holder of the issuer, the reporting person will undertake to provide full information regarding the number of shares purchased at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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