ProtoKinetix, Inc. Form 4 January 04, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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OMB APPROVAL

if no longer subject to Section 16. Form 4 or Form 5 obligations

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person * McDonough Edward P.

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(Middle)

ProtoKinetix, Inc. [PKTX] 3. Date of Earliest Transaction

(Check all applicable)

1226 WASHINGTON AVENUE

(Month/Day/Year) 01/01/2017

X_ Director 10% Owner Other (specify Officer (give title below)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) Applicable Line)

(A)

or

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

PARKERSBURG, WV 26101

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise (Instr. 3) Price of

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

5. Number of 4. TransactionDerivative Code Securities (Instr. 8) Acquired (A) or

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

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Derivative Security					Disposed of (Instr. 3, 4, an					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Option	\$ 0.05	01/01/2017	A		1,000,000		<u>(1)</u>	12/31/2020	Common Stock	1,000,0
Option	\$ 0.08						(2)	12/31/2019	Common Stock	1,000,0
Option	\$ 0.1						(3)	06/30/2017	Common Stock	1,000,0

Reporting Owners

Relationships

Reporting Owner Name / Address

 $\begin{array}{ccc} \text{Director} & \frac{10\%}{\text{Owner}} & \text{Officer} & \text{Other} \end{array}$

McDonough Edward P.
1226 WASHINGTON AVENUE X
PARKERSBURG, WV 26101

Signatures

/s/ Victoria B. Bantz as power of attorney for Edward P. McDonough

01/04/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Options issued pursuant to the Company's 2017 Stock Option and Stock Bonus Plan. 250,000 shares of common stock vest on March 31, 2017; thereafter 250,000 shares vest every three months
- Options issued pursuant to the Company's 2015 Stock Option and Stock Bonus Plan. 250,000 shares of common stock vest on March 31, 2016; thereafter 250,000 shares vest every three months.
- (3) Options issued pursuant to the Company's 2015 Stock Option and Stock Bonus Plan. 166,666 shares of common stock vest each month beginning July 31, 2015 with the remainder of 166,670 vesting December 31, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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