Edgar Filing: 22nd Century Group, Inc. - Form 4

22nd Century	Group, Inc.									
Form 4 February 18, 2	2015									
								OMB APPROVAL		
Check this box								OMB Number:	3235-0287	
if no longe subject to	F CHANGES IN BENEFICIAL OWNE				OWNERSHIP OF	Expires: Estimated a	January 31, 2005 d average			
Section 16 Form 4 or	SECURITIES				burden hours per response 0.5					
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type Responses)										
1. Name and Ad BRODFUEH	2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Issuer	5. Relationship of Reporting Person(s) to Issuer				
	22nd Century Group, Inc. [XXII.OB]				OB] (Chec	(Check all applicable)				
(Last) C/O 22ND C INC., 9530 N	3. Date of Earliest Transaction (Month/Day/Year) 02/16/2015				below)	Officer (give title Other (specify				
(Street)			4. If Amendment, Date Original			6. Individual or Jo	6. Individual or Joint/Group Filing(Check			
CLARENCE	Filed(Month/Day/Year)				Form filed by M	_X_ Form filed by One Reporting Person Form filed by More than One Reporting				
							Person			
(City)		State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	any		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price		Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial		
Common Stock				Code V	Amount	(D) P	nce	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owners Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Other **BRODFUEHRER JOHN T** C/O 22ND CENTURY GROUP, INC. CFO & Treasurer 9530 MAIN STREET CLARENCE, NY 14031 Signatures /s/ Thomas L. James, Attorney-in-Fact for John T. 02/18/2015 Brodfuehrer **Signature of Reporting Person Date **Explanation of Responses:**

Edgar Filing: 22nd Century Group, Inc. - Form 4

4.

Code

(Instr. 8)

Code V

Α

Execution Date, if

(Month/Day/Year)

5. Number of

Disposed of (D)

(Instr. 3, 4, and

(A)

194,529

(1)

Securities Acquired (A) or

TransactionDerivative

5)

6. Date Exercisable and

Expiration

Date

02/16/2016 02/16/2025

Expiration Date

Date

(D)

Exercisable

(Month/Day/Year)

7. Title and Amount of

Underlying Securities

Amount

Number

194,52

Shares

(Instr. 3 and 4)

Title

Common

Stock

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

3. Transaction Date 3A. Deemed

any

(Month/Day/Year)

02/16/2015

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options Vest 100% on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

1. Title of

Derivative

Security

(Instr. 3)

Stock Option

(right to buy) 2

Conversion

or Exercise

Derivative

\$ 0.96

Price of

Security