Edgar Filing: Pai Nai-yu - Form 4

Pai Nai-yu											
Form 4											
May 14, 2013	3										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL		
Washington, D.C. 20549							OMB Number:	3235-0287			
Check this if no long								Expires:	January 31, 2005		
subject to STATEMENT OF CHANG				GES IN BENEFICIAL OWN				NERSHIP OF	Estimated a		
Section 16					ITIES				burden hou	rs per	
Form 4 or Form 5		Filed pursuant to Section 16(a) of the Securitie					chang	e Act of 1934	response	0.5	
obligation	18 Section 17(s						-	f 1935 or Section	n		
may conti <i>See</i> Instru 1(b).	nue.			•	Company						
(Print or Type R	esponses)										
Pai Nai-yu Symbol AXT IN			Symbol	INC [AXTI]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				of Earliest Transaction				V Director	100	Oruman	
Filed(Mor				-				X_ Director 10% Owner Officer (give title Other (specify below) below)			
			4. If Amer	mendment, Date Original				6. Individual or Joint/Group Filing(Check			
			Filed(Mont	th/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
FREMONT,	CA 94538							Person		porting	
(City)	(State)	(Zip)	Table	e I - Non-D	Oerivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A)			SecuritiesBeneficiallyOwnedFollowingReported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	05/14/2013			Code V A	Amount 10,909 (1)	or (D)	Price \$ 0	Transaction(s) (Instr. 3 and 4) 29,148	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	 5. ctionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 		(Month/Day/Year) rivative purities quired or posed D)		Unde Secur	unt of rlying	Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	<i>,</i>	nd 5) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Pai Nai-yu 4281 TECHNOLOGY DRIVE FREMONT, CA 94538	Х							
Signatures								
/s/ Nai-yu Pai, by Power of Attorney		05/14/2013						
<u>**</u> Signature of Reporting Person		Date						

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents grant of restricted stock award which vests in three equal annual installments on each anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.