

WILLIAMS DAVID B  
Form 4  
March 27, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
WILLIAMS DAVID B

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
03/25/2019

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                      |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| Class A Common Stock            | 03/25/2019                           |  | P                              | 1,651 (1) A   | \$ 61.7884 107,321  | I  | Held by trust for the benefit of Reporting Person's Spouse (2)             |
| Class A Common Stock            | 03/25/2019                           |  | P                              | 1 (1) A   | \$ 62.36 (3) 99   | I  | Spouse's proportionate interests in shares held by Rankin Associates V (2) |

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|                            |            |   |                     |   |                        |         |   |   |
|----------------------------|------------|---|---------------------|---|------------------------|---------|---|---|
| Class A<br>Common<br>Stock | 03/25/2019 | P | 1 <u>(1)</u>        | A | \$ <u>60.11</u><br>(4) | 592     | I | Reporting<br>person's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI  |
| Class A<br>Common<br>Stock | 03/25/2019 | P | 1 <u>(1)</u>        | A | \$ <u>61.01</u><br>(5) | 498     | I | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI<br><u>(2)</u> |
| Class A<br>Common<br>Stock | 03/25/2019 | P | 2 <u>(1)</u>        | A | \$ <u>62.36</u><br>(3) | 500     | I | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI<br><u>(2)</u> |
| Class A<br>Common<br>Stock | 03/25/2019 | P | 2 <u>(1)</u>        | A | \$ <u>62.36</u><br>(3) | 594     | I | Reporting<br>person's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI  |
| Class A<br>Common<br>Stock | 03/25/2019 | P | 2 <u>(1)</u>        | A | \$ <u>62.36</u><br>(3) | 592     | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                |
| Class A<br>Common<br>Stock | 03/25/2019 | P | 2 <u>(1)</u>        | A | \$ <u>62.36</u><br>(3) | 592     | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                |
| Class A<br>Common<br>Stock | 03/26/2019 | P | 1,651<br><u>(1)</u> | A | \$<br>62.3572          | 108,972 | I | Held by trust<br>for the benefit<br>of Reporting<br>Person's<br>Spouse <u>(2)</u>                   |
| Class A<br>Common          | 03/26/2019 | P | 1 <u>(1)</u>        | A | \$ <u>62.36</u><br>(6) | 100     | I | Spouse's<br>proportionate   |

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|                      |            |   |                  |   |                         |        |   |  |
|----------------------|------------|---|------------------|---|-------------------------|--------|---|--|
| Stock                |            |   |                  |   |                         |        |   | interests in shares held by Rankin Associates V <sup>(2)</sup>                         |
| Class A Common Stock | 03/26/2019 | P | 2 <sup>(1)</sup> | A | \$ 62.36 <sup>(6)</sup> | 502    | I | Spouse's proportionate interest in shares held by Rankin Associates VI <sup>(2)</sup>  |
| Class A Common Stock | 03/26/2019 | P | 3 <sup>(1)</sup> | A | \$ 62.36 <sup>(6)</sup> | 597    | I | Reporting person's proportionate interest in shares held by Rankin Associates VI       |
| Class A Common Stock | 03/26/2019 | P | 3 <sup>(1)</sup> | A | \$ 62.36 <sup>(6)</sup> | 595    | I | Child's proportionate interest in shares held by Rankin Associates VI                  |
| Class A Common Stock | 03/26/2019 | P | 3 <sup>(1)</sup> | A | \$ 62.36 <sup>(6)</sup> | 595    | I | Child's proportionate interest in shares held by Rankin Associates VI                  |
| Class A Common Stock |            |   |                  |   |                         | 81,009 | I | Spouse's proportionate partnership interest shares held by AMR Associates LP           |
| Class A Common Stock |            |   |                  |   |                         | 49,811 | I | Spouse's proportionate interests in shares held by Rankin Associates I. <sup>(2)</sup> |
| Class A Common Stock |            |   |                  |   |                         | 11,750 | I | Spouse's proportionate interests in  |

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|                            |        |   |  |
|----------------------------|--------|---|--|
| Class A<br>Common<br>Stock | 32,369 | I | shares held by Rankin Associates II. <u>(2)</u><br>Spouse's proportionate interests in shares held by Rankin Associates IV. <u>(2)</u> |
| Class A<br>Common<br>Stock | 3,162  | D |  |
| Class A<br>Common<br>Stock | 7,104  | I | proportionate LP interest in shares held by RA II, L.P   |
| Class A<br>Common<br>Stock | 677    | I | Child's proportionate partnership interest shares held by AMR Associates LP  |
| Class A<br>Common<br>Stock | 9,945  | I | Minor child's trust's proportionate interests in shares held by Rankin Associates II. <u>(2)</u>                                       |
| Class A<br>Common<br>Stock | 2,983  | I | Reporting Person is Trustee of a Trust for the benefit of Reporting Person's minor child <u>(2)</u>                                    |
| Class A<br>Common<br>Stock | 677    | I | Child's proportionate partnership interest shares held by AMR Associates LP  |

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|                            |       |   |   |
|----------------------------|-------|---|---|
| Class A<br>Common<br>Stock | 8,570 | I | Minor child's<br>trust's<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II.<br><u>(2)</u>       |
| Class A<br>Common<br>Stock | 4,357 | I | Reporting<br>Person is<br>Trustee of a<br>Trust for the<br>benefit of<br>Reporting<br>Person's<br>minor child<br><u>(2)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |                                     |
|---|--|---|---|--------------------------------------|--|--|---|---|-------------------------------------|
|   |  |   |   |                                      |  | Date<br>Exercisable  | Expiration<br>Date  | Title   | Amount<br>or<br>Number<br>of Shares |
|   |  |   |   |                                      |  | Code   | V   | (A)   | (D)                                 |
| Class B<br>Common<br>Stock                          | <u>(7)</u>   |   |   |                                      |  | <u>(7)</u>   | <u>(7)</u>  | Class A<br>Common<br>Stock                          | 94,355                              |
| Class B<br>Common<br>Stock                          | <u>(7)</u>   |   |   |                                      |  | <u>(7)</u>   | <u>(7)</u>  | Class A<br>Common<br>Stock                          | 89,105                              |

|                            |            |            |            |                            |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(7)</u> | <u>(7)</u> | <u>(7)</u> | Class A<br>Common<br>Stock | 11,750 |
| Class B<br>Common<br>Stock | <u>(7)</u> | <u>(7)</u> | <u>(7)</u> | Class A<br>Common<br>Stock | 51,283 |
| Class B<br>Common<br>Stock | <u>(7)</u> | <u>(7)</u> | <u>(7)</u> | Class A<br>Common<br>Stock | 58,586 |
| Class B<br>Common<br>Stock | <u>(7)</u> | <u>(7)</u> | <u>(7)</u> | Class A<br>Common<br>Stock | 2,332  |
| Class B<br>Common<br>Stock | <u>(7)</u> | <u>(7)</u> | <u>(7)</u> | Class A<br>Common<br>Stock | 7,104  |
| Class B<br>Common<br>Stock | <u>(7)</u> | <u>(7)</u> | <u>(7)</u> | Class A<br>Common<br>Stock | 790    |
| Class B<br>Common<br>Stock | <u>(7)</u> | <u>(7)</u> | <u>(7)</u> | Class A<br>Common<br>Stock | 9,945  |
| Class B<br>Common<br>Stock | <u>(7)</u> | <u>(7)</u> | <u>(7)</u> | Class A<br>Common<br>Stock | 2,152  |



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- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2019-Mar-25 -Block 3 Weighted Average- Share Price represents average price between \$61.84 and \$62.49.
- (4) 2019-Mar-25 -Block 1 Weighted Average- Share Price represents average price between \$59.99 and \$60.37.
- (5) 2019-Mar-25 -Block 2 Weighted Average- Share Price represents average price between \$60.39 and \$61.385.
- (6) 2019-Mar-26-Weighted Average - Share Price represents average price between \$61.58 and \$62.49.
- (7) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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