RANKIN CHLOE O

Form 5

February 14, 2018

OMB APPROVAL FORM 5 **OMB**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

2005 Estimated average burden hours per response... 1.0

Number:

Expires:

3235-0362

January 31,

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4 Transactions Reported

(Last)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

RANKIN CHLOE O

(First)

(Middle)

NACCO INDUSTRIES INC [NC] 3. Statement for Issuer's Fiscal Year Ended

(Check all applicable)

(Month/Day/Year)

12/31/2017

Director 10% Owner Officer (give title __X__ Other (specify below) below)

Member of a Group

NACCO INDUSTRIES, INC., Â 5875 LANDERBROOK DRIVE, STE. 220

> (Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Reporting

Filed(Month/Day/Year)

(check applicable line)

MAYFIELD HEIGHTS, OHÂ 44124

X Form Filed by One Reporting Person Form Filed by More than One Reporting

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. 7. Nature of 1. Title of 2. Transaction Date 2A. Deemed 4. Securities Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Ownership Indirect (Instr. 3) Code Disposed of (D) Beneficially Form: Beneficial any

		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5) (A) or Amount (D) Price		5) Price	Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Class A Common Stock	12/14/2017	Â	G	81	D D	\$ 0 (1)	36,890	I	By Assoc II/Spouse (2)
Class A Common Stock	12/14/2017	Â	G	29	D	\$ 0 (1)	36,890	I	By Assoc II/Spouse (2)
Class A Common	12/14/2017	Â	G	29	D	\$ 0 (1)	36,890	I	By Assoc II/Spouse (2)

Stock									
Class A Common Stock	12/14/2017	Â	G	58	D	\$ 0 (1)	36,890	I	By Assoc II/Spouse (2)
Class A Common Stock	12/14/2017	Â	G	81	D	\$ 0 (1)	36,890	I	By Assoc II/Spouse (2)
Class A Common Stock	12/14/2017	Â	G	163	D	\$ 0 (1)	36,890	I	By Assoc II/Spouse (2)
Class A Common Stock	12/14/2017	Â	G	81	D	\$ 0 (1)	36,890	I	By Assoc II/Spouse (2)
Class A Common Stock	12/14/2017	Â	G	163	D	\$ 0 (1)	36,890	I	By Assoc II/Spouse (2)
Class A Common Stock	12/14/2017	Â	G	163	D	\$ 0 (1)	36,890	I	By Assoc II/Spouse (2)
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,116	I	By Assoc II
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,360	I	By Trust (4)
Class A Common Stock	Â	Â	Â	Â	Â	Â	1,975	I	By Spouse/RMI (Delaware) (2)
Class A Common Stock	Â	Â	Â	Â	Â	Â	25,768	I	By Spouse/Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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of (D)

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	of	Expiration Date	Underlying Securities	
Security	or Exercise		any	Code	Derivative	(Month/Day/Year)	(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Securities			
	Derivative				Acquired			
	Security				(A) or			
					Disposed			

8. P. Deri Section (Ins

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(Instr. 3, 4, and 5)

					and 5)						
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	Â	12/14/2017	Â	G	227	Â	(1)	<u>(1)</u>	Class A Common Stock	227	\$
Class B Common Stock	Â	12/14/2017	Â	G	227	Â	(1)	(1)	Class A Common Stock	227	\$
Class B Common Stock	Â	12/14/2017	Â	G	227	Â	(1)	(1)	Class A Common Stock	227	\$
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	50,000	
Class B Common Stock	\$ 0 (1)	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	25	
Class B Common Stock	\$ 0 (1)	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	85,056	
Class B Common Stock	\$ 0 (1)	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	20,312	

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Class B Class A \hat{A} \hat{A} \hat{A} \hat{A} (1)Â (1) Common \$ 0 (1) Â Common 97,312 Stock Stock

Reporting Owners

Relationships Reporting Owner Name / Address

Â

Director 10% Owner Officer Other

Member of a Group

RANKIN CHLOE O NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 220 MAYFIELD HEIGHTS, OHÂ 44124

Signatures

/s/ Jesse L. Adkins, 02/14/2018 attorney-in-fact

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) N/A
- Represents the Reporting Person's spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI). Reporting Person disclaims beneficial ownership of all such shares.
- Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.
- (4) Reporting Person serves as Trustee of a Trust for the benefit of Chloe O. Rankin.
- Reporting Person's spouse serves as Trustee of a Trust for the benefit of Claiborne R. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- GP. Represents the Reporting Person's spouse's proportionate limited partnership interest in shares of Rankin Associates IV, L.P. held by
- (6) the Trust for the benefit of Reporting Person's spouse, as general partner. Reporting Person disclaims beneficial ownership of all such
- Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates I, L.P. Reporting Person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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