

Andreessen Marc L
Form 4
November 06, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Andreessen Marc L

(Last) (First) (Middle)

C/O ANDREESSEN
HOROWITZ, 2865 SAND HILL
RD., STE. 101

(Street)

MENLO PARK, CA 94025

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Facebook Inc [FB]

3. Date of Earliest Transaction
(Month/Day/Year)
11/06/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)			
			Code	V	Amount		Price
Class A Common Stock	11/02/2017		J ⁽¹⁾	V	142,000	D	\$ 0
					103,935	I	
							By Andreessen Horowitz Fund III, L.P., as Nominee (2)
Class A Common Stock	11/02/2017		J ⁽¹⁾	V	1,409	A	\$ 0
					1,409	I	
							By AH Equity Partners III, L.L.C. (3)
Class A Common	11/02/2017		J ⁽¹⁾	V	702	A	\$ 0
					702	I	
							By the LAMA

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Stock									Community Trust ⁽⁴⁾
Class A Common Stock	11/02/2017	J ⁽⁵⁾	V	170,205	D	\$ 0	0	I	By AH Parallel Fund III, L.P., as Nominee ⁽⁶⁾
Class A Common Stock	11/02/2017	J ⁽⁵⁾	V	838	A	\$ 0	1,540	I	By the LAMA Community Trust ⁽⁴⁾
Class A Common Stock	11/02/2017	J ⁽⁵⁾	V	1,700	A	\$ 0	1,700	I	By AH Equity Partners III (Parallel), L.L.C. ⁽⁷⁾
Class A Common Stock	11/02/2017	J ⁽⁸⁾	V	1,409	D	\$ 0	0	I	By AH Equity Partners III, L.L.C. ⁽³⁾
Class A Common Stock	11/02/2017	J ⁽⁸⁾	V	311	A	\$ 0	1,851	I	By the LAMA Community Trust ⁽⁴⁾
Class A Common Stock	11/02/2017	J ⁽⁸⁾	V	36	A	\$ 0	36	I	By AH Capital Management, L.L.C. ⁽⁹⁾
Class A Common Stock	11/02/2017	J ⁽¹⁰⁾	V	1,700	D	\$ 0	0	I	By AH Equity Partners III (Parallel), L.L.C. ⁽⁷⁾
Class A Common Stock	11/02/2017	J ⁽¹⁰⁾	V	376	A	\$ 0	2,227	I	By the LAMA Community Trust ⁽⁴⁾
Class A Common Stock	11/02/2017	J ⁽¹⁰⁾	V	38	A	\$ 0	74	I	By AH Capital Management, L.L.C. ⁽⁹⁾
Class A Common Stock	11/06/2017	S		74	D	\$ 179.07	0	I	By AH Capital Management, L.L.C. ⁽⁹⁾
Class A Common Stock							175,165	I	By The Andreessen 1996 Living Trust ⁽¹¹⁾

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Andreessen Marc L
C/O ANDREESSEN HOROWITZ
2865 SAND HILL RD., STE. 101
MENLO PARK, CA 94025

X

Signatures

/s/ Michael Johnson as attorney-in-fact for Marc L.
Andreessen

11/06/2017

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The shares reported on this form represent pro rata distributions, and not a purchase or sale, of securities by Andreessen Horowitz Fund III, L.P., as nominee ("AH Fund III") to the general and limited partners or members and assignees of the funds for which it acts as nominee without consideration.

(2) The reporting person is one of the Managing Members of AH Equity Partners III, L.L.C. ("AHEP III"), which is the General Partner of AH Fund III, and may be deemed to share voting and investment power over the securities held by AH Fund III. The reporting person disclaims beneficial ownership over such securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.

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- (3) The reporting person is one of the Managing Members of AHEP III, and may be deemed to share voting and investment power over the securities held by AHEP III. The reporting person disclaims beneficial ownership over such securities except to the extent of his pecuniary interest therein. The inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.
- (4) The reporting person and his spouse are the trustees of the LAMA Community Trust.
- (5) The shares reported on this form represent pro rata distributions, and not a purchase or sale, of securities by AH Parallel Fund III, L.P., as nominee ("AHPF III") to the general and limited partners or members and assignees of the funds for which it acts as nominee without consideration.
- (6) The reporting person is one of the Managing Members of AH Equity Partners III (Parallel), L.L.C. ("AHEP III (Parallel)"), which is the General Partner of AHPF III, and may be deemed to share voting and investment power over the securities held by AHPF III. The reporting person disclaims beneficial ownership over such securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.
- (7) The reporting person is one of the Managing Members of AHEP III (Parallel), and may be deemed to share voting and investment power over the securities held by AHEP III (Parallel). The reporting person disclaims beneficial ownership over such securities except to the extent of his pecuniary interest therein. The inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.
- (8) The shares reported on this form represent pro rata distributions, and not a purchase or sale, of securities by AHEP III to its partners without consideration.
- (9) The reporting person is one of the Managing Members of AH Capital Management, L.L.C. ("AHCM"), and may be deemed to share voting and investment power over the securities held by AHCM. The reporting person disclaims beneficial ownership over such securities except to the extent of his pecuniary interest therein. The inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.
- (10) The shares reported on this form represent pro rata distributions, and not a purchase or sale, of securities by AHEP III (Parallel) to its partners without consideration.
- (11) The reporting person and JP Morgan Chase Bank, N.A. (successor-in-interest to J.P. Morgan Trust Company, N.A.) are the trustees of The Andreessen 1996 Living Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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