Edgar Filing: BURNS STEPHANIE - Form 4

BURNS ST	EPHANIE								
Form 4									
October 02,	2017								
FORM	ΛΔ								PPROVAL
	UNITED	STATES		RITIES A Ashington			COMMISSION	NOMB Number:	3235-0287
Check t								Expires:	January 31,
if no lor subject		MENT O	F CHAI	NGES IN	BENEF	ICIAL O	WNERSHIP OF	Estimated	2005 average
Section				SECUI	RITIES			burden hou	0
Form 4								response	•
Form 5 obligation	-						nge Act of 1934,		
may cor See Inst 1(b).	tinue. Section 17			•	•	npany Act ny Act of 1	of 1935 or Section 940	on	
(Print or Type	Responses)								
	Address of Reporting	Person [*]	2. Issue	er Name an	d Ticker or	Trading	5. Relationship o	of Reporting Per	rson(s) to
BURNS ST	FEPHANIE		Symbol				Issuer		
			CORN	ING INC	/NY [GI	LW]	(Che	ck all applicabl	e)
(Last)	(First) (Middle)	3. Date of	of Earliest T	ransaction				
				Day/Year)			X Director Officer (giv		% Owner her (specify
ONE RIVE	ERFRONT PLAZ	A	09/29/2	2017			below)	below)	ier (speeny
	(Street)		4. If Am	endment, D	ate Origina	ıl	6. Individual or .	Joint/Group Fili	ng(Check
				onth/Day/Yea	-		Applicable Line)	1	
							X Form filed by	One Reporting P More than One R	
CORNING	, NY 14831						Person	More than One K	eporting
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned
1.Title of	2. Transaction Date			3.	4. Securit			6. Ownership	7. Nature of
Security (Instr. 3)	(Month/Day/Year)	Execution any	Date, if	Code	nAcquired Disposed			Form: Direct (D) or Indirect	Indirect Beneficial
(mou. o)		(Month/Da	ay/Year)	(Instr. 8)	(Instr. 3, 4		•	(I)	Ownership
								(Instr. 4)	(Instr. 4)
						(A)	Reported Transaction(s)		
				Coda V	Amount	or (D) Price	(Instr. 3 and 4)		
				Coue v	Amount	(D) Flice			
Reminder: Re	port on a separate line	e for each cl	ass of sec	urities bene	ficially ow	ned directly	or indirectly.		
							spond to the colle		SEC 1474
							tained in this form ond unless the fo		(9-02)
					•	iys a curre	ntly valid OMB co		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof	Expiration Date	Underlying Securities	Derivat
Security	or Exercise		any	Code	Derivative	(Month/Day/Year)	(Instr. 3 and 4)	Securit
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Securities			(Instr.

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	Derivative Security				Acquin (A) or Dispos of (D) (Instr. and 5)	sed 3, 4,					
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(1)</u>	09/29/2017	А		690		(2)	(2)	Common Stock	690	\$ 29.
Restricted Stock Unit	<u>(1)</u>	09/29/2017	А		33 (3)		(2)	(2)	Common Stock	33	\$ 29.
Restricted Stock Unit	<u>(4)</u>						(5)	(5)	Common Stock	5,914	

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
BURNS STEPHANIE ONE RIVERFRONT PLAZA CORNING, NY 14831	Х			
Signatures				
Linda E. Jolly, Power of Attorney	1	0/02/2017		
**Signature of Reporting Person		Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units granted under the Non-Employee Directors' Deferred Commpensation Plan. Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.
- (2) Conversion of restricted stock units to the Company's common stock and distribution of such stock under the Non-Employee Directors' Deferred Compensation Plan is deferred until a specific date as elected by the participant or termination of service as a Corning director.
- (3) Units acquired pursuant to dividend reinvestment feature of Non-Employee Directors' Deferred Compensation Plan for which no additional price was paid.
- (4) Represents annual equity retainer in the form of restricted stock units granted under the 2010 Equity Plan For Non-Employee Directors. Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.
- (5) Conversion of restricted stock units to the Company's common stock and distribution of such stock is deferred until a specific date as elected by the participant or termination of service as a Corning director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.