

TAPLIN BRITTON T
Form 4
September 25, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TAPLIN BRITTON T

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
220

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction
(Month/Day/Year)
09/22/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Dir. and Member of Group

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				(A) or (D)	Price			
				Code	V	Amount		
Class A Common Stock	09/22/2017		J ⁽¹⁾	V	824	D	<u>2</u> 40,559	D
Class A Common Stock	09/22/2017		J ⁽¹⁾	V	824	A	<u>2</u> 824	I
							Proportionate membership interest in shares held by Abigail II, LLC	
Class A Common	09/22/2017		J ⁽¹⁾	V	26	D	<u>2</u> 40,533	D

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Stock

Class A Common Stock	09/22/2017	J ⁽¹⁾	V	26	A	(2)	850	I	Proportionate membership interest in shares held by Abigail II, LLC
Class A Common Stock	09/22/2017	J ⁽¹⁾	V	319	D	(2)	40,214	D	
Class A Common Stock	09/22/2017	J ⁽¹⁾	V	319	A	(2)	1,169	I	Proportionate membership interest in shares held by Abigail II, LLC
Class A Common Stock	09/22/2017	J ⁽¹⁾	V	114	D	(2)	40,100	D	
Class A Common Stock	09/22/2017	J ⁽¹⁾	V	114	A	(2)	1,283	I	Proportionate membership interest in shares held by Abigail II, LLC
Class A Common Stock	09/22/2017	J ⁽¹⁾	V	217	D	(2)	39,883	D	
Class A Common Stock	09/22/2017	J ⁽¹⁾	V	217	A	(2)	1,500	I	Proportionate membership interest in shares held by Abigail II, LLC
Class A Common Stock							15,339	I	Proportionate membership interest in shares held by Abigail LLC
Class A Common Stock							5,755	I	By Spouse ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TAPLIN BRITTON T NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 220 MAYFIELD HEIGHTS, OH 44124	X			Dir. and Member of Group

Signatures

/s/ Jesse L. Adkins,
attorney-in-fact
**Signature of Reporting Person

09/25/2017
Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares transferred by Trust FBO Reporting Person to Abigail II, LLC, a limited liability company of which the trust is a member.
- (2) N/A
- (3) By Spouse. Reporting Person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.