Edgar Filing: VALLEY NATIONAL BANCORP - Form 4

VALLEY NATIONAL BANCORP

Form 4

February 04, 2014

FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

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Estimated average

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

1. Name and Address of Reporting Person *

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

ENGEL ALBERT L			Symbol VALLEY NATIONAL BANCORP [VLY]						(Check all applicable)			
(Last) 1455 VALL	(Month/D			of Earliest Transaction Day/Year)					Director 10% Owner Softicer (give title Other (specify below)			
1433 VALL	01/31/2014						Executive Vice President					
		4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check					
WAYNE, N	Filed(Month/Day/Year)						Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	med on Date, if Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price				of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	01/31/2014			F	•	3,077	(D)	\$ 9.92	129,484	D		
Common Stock	01/31/2014			A		30,242 (1)	A	\$0	159,726	D		
Common Stock									3,152	I	By Trust	
Common Stock (401k Plan)									4,423	D (2)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	ar) Execution Date, if TransactionNum any Code of (Month/Day/Year) (Instr. 8) Deri Secu Acqu (A) o Disp of (I		onNumber		e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option/NQ	\$ 11.91					11/15/2011	11/15/2020	Common Stock	12,472
Stock Options	\$ 18.93					11/16/2005	11/16/2014	Common Stock	14,773
Stock Options	\$ 17.54					11/14/2006	11/14/2015	Common Stock	20,404
Stock Options	\$ 19.19					11/13/2007	11/13/2016	Common Stock	22,112
Stock Options	\$ 14.65					02/12/2009	02/12/2018	Common Stock	21,059

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ENGEL ALBERT L 1455 VALLEY ROAD WAYNE, NJ 07470-

Executive Vice President

Signatures

/s/ M. NASETTE ARANDA, AS ATTORNEY-IN-FACT

02/04/2014

**Signature of Reporting Person

Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted shares granted under the Valley National Bancorp 2009 Long Term Incentive Stock Plan. 15,121 of the shares are time-based
- (1) with three-year equal vesting beginning at grant date]. 15,121 of the shares are subject to performance-based vesting conditions over a three-year performance period, all of which may be forfeited in the event performance conditions are not satisfied.
- (2) Holdings under the Valley 401K Plan has been updated to reflect reporting person's balance in the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.