**CARNIVAL CORP** Form 4

July 29, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Freedman Larry R.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

CARNIVAL CORP [CCL]

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

Director 10% Owner \_X\_\_ Officer (give title \_\_X\_\_ Other (specify

CARNIVAL CORPORATION, 3655 07/25/2013

below) below)

Chief Accounting Officer / VP & Controller

NW 87TH AVE (Street)

4. If Amendment, Date Original

(Month/Day/Year)

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

**MIAMI, FL 33178** 

(City)	(State) (Zip) Table I - Non-Derivative Securities Acq						uired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	(A) or Amount (D) Price		Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
Common Stock	07/25/2013		S	200	D	\$ 37.05	19,589	D		
Common Stock	07/26/2013		S	6,557	D	\$ 37.05	13,032	D		
Common Stock	07/26/2013		M	15,000	A	\$ 34.45	28,032	D		
Common Stock	07/26/2013		S	15,000	D	\$ 37.05	13,032	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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5 Number of 6 Data Evergicable and

SEC 1474 (9-02)

7 Title and Amount

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative Security (Instr. 3)		Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	on Disposed of (D) (Instr. 3, 4,	Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)	
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
	Stock Options (Right to	\$ 34.45	07/26/2013		M	15,000	10/13/2004(1)	10/13/2013	Common Stock	15,000

### **Reporting Owners**

Reporting Owner Name / Address Relationships

2 Transaction Data 24 Dasmad

Director 10% Owner Officer Other

Freedman Larry R. CARNIVAL CORPORATION 3655 NW 87TH AVE MIAMI, FL 33178

Chief Accounting Officer VP & Controller

#### **Signatures**

1 Title of 2

Buy)

/s/ Larry R. 07/29/2013 Freedman

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest ratably over five years beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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