JOHNSON WILLIAM D

Form 3 July 12, 2012

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Duke Energy CORP [DUK] JOHNSON WILLIAM D (Month/Day/Year) 07/02/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 550 S. TRYON STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person CHARLOTTE, NCÂ 28202 (give title below) (specify below) Form filed by More than One President & CEO; Director Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock (1) 269,471 (2) Common Stock (1) 8,784 I By 401(k) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Management Deferred Compensation Plan (1)	(3)	(4)	Common Stock	1,040	\$ <u>(5)</u>	D	Â
Management Incentive Compensation Plan (1)	(3)	(4)	Common Stock	1,682	\$ <u>(5)</u>	D	Â
Performance Share Sub-Plan (1)	(3)	(4)	Common Stock	142,243	\$ <u>(5)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
1 2 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	Director	10% Owner	Officer	Other	
JOHNSON WILLIAM D 550 S. TRYON STREET CHARLOTTE, NC 28202	Â	Â	President & CEO; Director	Â	

Signatures

/s/ David S. Maltz, attorney-in-fact for William D.

Johnson

07/12/2012

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On July 2, 2012, Progress Energy, Inc. ("Predecessor"), merged into a subsidiary of Duke Energy Corporation ("Issuer"). In connection

Date

- (1) with the merger, each share of Predecessor's common stock was converted into the right to receive .87083 shares of Issuer's common stock.
- (2) Includes 49,466 restricted stock units
- (3) Based upon payment schedule selected by the reporting person in the Election Form.
- (4) Expiration date not applicable.
- (5) Converts to Common Stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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