Edgar Filing: DIMICCO DANIEL R - Form 4

DIMICCO I Form 4 July 02, 200											
FORM	ЛЛ		~~ ~ ~ ~						PPROVAL		
Check tl	UNITED	STATES		RITIES A shington			E COMMISSIO	Number:	3235-0287 January 31,		
if no lon subject t Section Form 4 Form 5	STATEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							xpires: 2005 stimated average urden hours per esponse 0.5		
obligation may cor <i>See</i> Insta 1(b).	ons Section 17(a) of the I	Public U	Itility Hol	ding Con		nge Act of 1934, of 1935 or Secti 1940				
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> DIMICCO DANIEL R			2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (1	Middle)	 Duke Energy CORP [DUK] 3. Date of Earliest Transaction 				(Check all applicable)				
526 S. CHURCH STREET			(Month/Day/Year) 06/30/2009			X_ Director 10% Owner Officer (give title Other (specify below) below)					
CHARLOT	4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 							
(City)	(State)	(Zip)	Tab	de I - Non-l	Derivative	Securities A	Acquired, Disposed	of or Beneficia	llv Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deema Execution any (Month/Da	ed Date, if	3.	4. Securit nAcquired Disposed (Instr. 3, 4	es (A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect		
Reminder: Re	port on a separate line	e for each cla	ass of sec								
					inforn requir	nation cont ed to resp ys a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not orm	SEC 1474 (9-02)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pric
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Deriva
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Securi
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired			(Instr.

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	Derivative Security				(A) or Dispose (D) (Instr. 3 and 5)						
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Director Savings Plan	<u>(1)</u>	06/30/2009	А		1,610		(2)	<u>(3)</u>	Common Stock	1,610	\$ 14
Repor	ting Ov	wners									

Reporting Owner Name / Address		Relationsh	lips	
r o	Director	10% Owner	Officer	Other
DIMICCO DANIEL R 526 S. CHURCH STREET CHARLOTTE, NC 28202	Х			
Signatures				
/s/ David S. Maltz, attorney-in- DiMicco	fact for E	Daniel R.		07/02/2009
<u>**</u> Signature of Reporting	ng Person			Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converts to Common Stock on a 1-for-1 basis.
- (2) Generally payable upon reporting person's termination of service.
- (3) Expiration date not applicable.
- (4) Includes additional securities acquired pursuant to regular dividend reinvestments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.