#### GAUTHIER HENRY E

Form 4 June 08, 2011

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

January 31, Expires:

**OMB APPROVAL** 

2005

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response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

**SECURITIES** may continue.

(Middle)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* **GAUTHIER HENRY E** 

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

IPG PHOTONICS CORP [IPGP] 3. Date of Earliest Transaction

(Check all applicable)

C/O IPG PHOTONICS

(Month/Day/Year)

06/06/2011

\_X\_\_ Director 10% Owner Officer (give title Other (specify

CORPORATION, 50 OLD **WEBSTER ROAD** 

> (Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

OXFORD, MA 01540

(City)	(State)	(Zip) Tabl	e I - Non-D	Derivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	06/06/2011		M	3,334	A	\$ 18.41	27,334	D	
Common Stock	06/06/2011		S <u>(1)</u>	3,334	D	\$ 72.6 (2)	24,000	D	
Common Stock	06/06/2011		M	6,667	A	\$ 11.07	30,667	D	
Common Stock	06/06/2011		S <u>(1)</u>	6,667	D	\$ 72.6 (2)	24,000	D	
Common Stock	06/06/2011		M	2,500	A	\$ 20.32	26,500	D	

### Edgar Filing: GAUTHIER HENRY E - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. I De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Director Stock Option (right to buy)	\$ 18.41	06/06/2011		M	3,334	(3)	06/09/2018	Common Stock	3,334	
Director Stock Option (right to buy)	\$ 11.07	06/06/2011		M	6,667	<u>(4)</u>	06/08/2019	Common Stock	6,667	
Director Stock Option (right to buy)	\$ 20.32	06/06/2011		M	2,500	<u>(5)</u>	06/11/2017	Common Stock	2,500	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
GAUTHIER HENRY E C/O IPG PHOTONICS CORPORATION 50 OLD WEBSTER ROAD OXFORD, MA 01540	X					

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### **Signatures**

Angelo P. Lopresti, Attorney-in-fact

06/08/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 5, 2011.
- The reported transaction involved sales transactions from \$71.43 to \$75.27 per share. The Reporting Person undertakes to provide upon (2) request by the SEC staff, the Issuer or a security holder of the Issuer information regarding the number of shares sold at each separate
- (3) These options are exercisable in four equal annual installments beginning on 6/10/09.
- (4) These options are exercisable 100% on earlier of 6/9/2010 or date of 2010 annual stockholder meeting of Issuer.
- (5) These options are exercisable in four equal annual installments beginning on 6/12/08.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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