Intellia Therapeutics, Inc. Form SC 13G February 09, 2017

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### **SCHEDULE 13G**

(Rule 13d-102)

Information Statement Pursuant to Rules 13d-1 and 13d-2 Under the Securities Exchange Act of 1934 (Amendment No. )\*

# INTELLIA THERAPUTICS, INC.

(Name of Issuer)

Common Stock, \$0.0001

(Title of Class of Securities)

45826J105

(CUSIP Number)

December 31, 2016

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- o Rule 13d-1(b)
- o Rule 13d-1(c)
- x Rule 13d-1(d)

The information required on the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

<sup>\*</sup>The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

CUSIP No. 45826J105 13G

Name of Reporting Person
 S.S. OR I.R.S. Identification No. of Above Person

Atlas Venture Fund IX, L.P.

- 2. Check the Appropriate Box if a Member of a Group\*
  - (a)
  - (b) o
- 3. SEC Use Only
- 4. Citizenship or Place of Organization

Delaware

5. Sole Voting Power

Number of

Shares 6. Shared Voting Power

Beneficially 4,429,788

Owned by

Each 7. Sole Dispositive Power

Reporting Person With

8. Shared Dispositive Power

4,429,788

- 9. Aggregate Amount Beneficially Owned by Each Reporting Person 4,429,788
- 10. Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares o
- 11. Percent of Class Represented by Amount in Row (9)

12.3%

12. Type of Reporting Person\*

PN

2

1.	1. Name of Reporting Person S.S. or I.R.S. Identification No. of Above Person			
	Atlas Venture Associat	es IX, L.P.		
2.	Check the Appropriate Box if a Member of a Group*			
	(a) (b)	o o		
3.	SEC Use Only			
4.	Citizenship or Place of Organization Delaware			
	5.		Sole Voting Power	
Number of Shares Beneficially	6.		Shared Voting Power 4,429,788	
Owned by Each Reporting	7.		Sole Dispositive Power	
Person With	8.		Shared Dispositive Power 4,429,788	
9.	Aggregate Amount Beneficially Owned by Each Reporting Person 4,429,788			
10.	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares O			
11.	Percent of Class Represented by Amount in Row (9) 12.3%			
12.	Type of Reporting Pers PN	son*		
			2	

1.	S.S. or I.R.S. Identification	rson ation No. of Above Perso	on	
	Atlas Venture Associa	tes IX, LLC		
2.	Check the Appropriate Box if a Member of a Group*			
	(a)	0		
	(b)	0		
3.	SEC Use Only			
4.	Citizenship or Place of Organization Delaware			
	5.		Sole Voting Power	
Number of				
Shares	6.		Shared Voting Power	
Beneficially			4,429,788	
Owned by Each	7.		Sala Diamonitiva Davvan	
Reporting	7.		Sole Dispositive Power	
Person With				
	8.		Shared Dispositive Power 4,429,788	
9.	Aggregate Amount Re	eneficially Owned by Fac	ch Reporting Person	
<i>7.</i>	Aggregate Amount Beneficially Owned by Each Reporting Person 4,429,788			
10.	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares O			
11.	Percent of Class Represented by Amount in Row (9) 12.3%			
12.	Type of Reporting Per OO	son*		
			4	

CUSIP No. 45826J105		SCHE	EDULE 13G		
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Item 1(a).	1	Name of Issuer			
		The name of the issuer to vertex (the <b>Company</b> ).	which this filing on Schedule 13G relates is Intellia Therapeutics, Inc.		
Item 1(b).		Address of Issuer's Princi	pal Executive Offices		
		The principal executive of Cambridge, Massachusetts	fices of the Company are located at 130 Brookline Street, Suite 201, s 02139.		
Item 2(a). Name		Name of Person Filing	e of Person Filing		
Item 2(b).	1	Associates IX, L.P. ( <u>AV</u> Associates IX, LLC ( <u>AV</u>	Statement is being filed on behalf of Atlas Venture Fund IX, L.P. ( <u>Atlas IX</u> ), Atlas Venture ciates IX, L.P. ( <u>AVA IX LP</u> ), the sole general partner of Atlas IX, and Atlas Venture ciates IX, LLC ( <u>AVA IX LLC</u> ), the sole general partner of AVA IX LP. ess of Principal Business Office or, if none, Residence		
Item 2(c).	\$		principal business address of each of Atlas IX, AVA IX LP, and AVA IX LLC is 25 First t, Suite 303, Cambridge, MA 02141.		
Item 2(d).		Each of Atlas IX, AVA IX Title of Class of Securities	of Atlas IX, AVA IX LP and AVA IX LLC is organized under the laws of Delaware. of Class of Securities		
Comm			class of equity securities of the Company to which this filing on Schedule 13G relates is amon Stock, par value \$0.0001 ( <u>Common Stock</u> ).  SIP Number		
	,	The CUSIP number of the	Company s Common Stock is 45826J105.		
Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a: No.					
	applicable. (a)	0	Broker or dealer registered under section 15 of the Act (15 U.S.C.		
	<b>a</b> >	0	78o). Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 73c).		
	(c)	o	Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).		
	(d)	0	Investment company registered under section 8 of the Investment		
	(e)	0	Company Act of 1940 (15 U.S.C. 80a-8). An investment adviser in accordance with §13d-1(b)(1)(ii)(E).		
	/ C	o	An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F).		
	(g)	0	A parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G).		
	(h)	o	A savings association as defined in Section 3(b) of the Federal		
	(i) c	0	Deposit Insurance Act (12 U.S.C. 1813).		

A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3).

Group, in accordance with §240.13d-1(b)(1)(ii)(J).

If this statement is filed pursuant to §240.13d-1(c), check this box.

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(j)

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## Item 4. Ownership

**Item 4(a).** Amount beneficially owned

As of the close of business on December 31, 2016, Atlas IX is the record holder of 4,429,788 shares of Common Stock (the <u>Atlas Shares</u>). AVA IX LP is the sole general partner of Atlas IX. AVA IX LLC is the sole general partner of AVA IX LP. No person other than the respective owner referred to herein of the Atlas Shares is known to have the right to receive or the power to direct the receipt of dividends from or the proceeds from the sale of such Atlas Shares. Each of Atlas IX, AVA IX LP, and AVA IX LLC disclaim beneficial ownership of the Atlas Shares except for such shares, if a very push partners held of record.

if any, such person holds of record.

**Item 4(b).** Percent of class

As of the close of business on December 31, 2016, Atlas IX was the beneficial owner

of 12.3% of the Common Stock.

**Item 4(c).** Number of shares as to which the person has:

Number of Shares of Common Stock

Reporting Person	<b>(i)</b>	(ii)	(iii)	(iv)
Atlas IX	-0-	4,429,788	-0-	4,429,788
AVA IX LP	-0-	4,429,788	-0-	4,429,788
AVA IX LLC	-0-	4,429,788	-0-	4,429,788

(i) Sole power to vote or direct the vote

(ii) Shared power to vote or to direct the vote

(iii) Sole power to dispose or to direct the disposition of

(iv) Shared power to dispose or to direct the disposition of

Item 5. Ownership of Five Percent or Less of a Class

Not Applicable.

Item 6. Ownership of More than Five Percent on Behalf of Another Person

Not Applicable.

Item 7. Identification and Classification of the Subsidiary which Acquired the Security Being

**Reported on by the Parent Holding Company:** 

Not Applicable.

Item 8. Identification and Classification of Members of the Group

**Item 9.**Not Applicable.

**Notice of Dissolution of Group** 

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Cusip No. 45826J105

#### **SCHEDULE 13G**

## Item 10. Certification

Not Applicable. This statement on Schedule 13G is not filed pursuant to Rule 13d-1(b) or 13(d)-1(c).

#### Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 9, 2017

## ATLAS VENTURE FUND IX, L.P.

By: Atlas Venture Associates IX, L.P.,

its general partner

By: Atlas Venture Associates IX, LLC,

its general partner

By: /s/ Frank Castellucci

Name: Frank Castellucci

Title: Secretary

## ATLAS VENTURE ASSOCIATES IX, L.P.

By: Atlas Venture Associates IX, LLC,

its general partner

By: /s/ Frank Castellucci

Name: Frank Castellucci

Title: Secretary

# ATLAS VENTURE ASSOCIATES IX, LLC

By: /s/ Frank Castellucci

Name: Frank Castellucci

Title: Secretary

Exhibit A

#### **Joint Filing Agreement**

The undersigned acknowledge and agree that the foregoing statement on Schedule 13G is filed on behalf of each of the undersigned and that all subsequent amendments to this statement on Schedule 13G shall be filed on behalf of each of the undersigned without the necessity of filing additional joint filing statements. The undersigned acknowledge that each shall be responsible for the timely filing of such amendments, and for the completeness and accuracy of the information concerning him, her or it contained herein, but shall not be responsible for the completeness and accuracy of the information concerning the other entities or persons, except to the extent that he, she or it knows or has reason to believe that such information is inaccurate.

Dated: February 9, 2017

#### ATLAS VENTURE FUND IX, L.P.

By: Atlas Venture Associates IX, L.P.,

its general partner

By: Atlas Venture Associates IX, LLC,

its general partner

By: /s/ Frank Castellucci

Name: Frank Castellucci

Title: Secretary

# ATLAS VENTURE ASSOCIATES IX, L.P.

By: Atlas Venture Associates IX, LLC,

its general partner

By: /s/ Frank Castellucci

Name: Frank Castellucci

Title: Secretary

# ATLAS VENTURE ASSOCIATES IX, LLC

By: /s/ Frank Castellucci

Name: Frank Castellucci

Title: Secretary