Edgar Filing: Neos Therapeutics, Inc. - Form SC 13G

Neos Therapeutics, Inc. Form SC 13G February 11, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934 (Amendment No.)*

NEOS THERAPEUTICS, INC.

(Name of Issuer)

COMMON STOCK, \$0.001 PAR VALUE PER SHARE

(Title of Class of Securities)

64052L106

(CUSIP Number)

December 31, 2015

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- o Rule 13d-1(b)
- o Rule 13d-1(c)
- x Rule 13d-1(d)

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

^{*}The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

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1.	Names of Reporting Persons
	IACK W SCHIII FR

- 2. Check the Appropriate Box if a Member of a Group
 - (a)
 - (b) x
- 3. SEC Use Only
- 4. Citizenship or Place of Organization ILLINOIS

	5.	Sole Voting Power 1,091,652 (1)
Number of		, , , , , , , , , , , , , , , , , , , ,
Shares	6.	Shared Voting Power
Beneficially		0
Owned by		
Each	7.	Sole Dispositive Power
Reporting		1,091,652 (2)
Person With		
	8.	Shared Dispositive Power

- 9. Aggregate Amount Beneficially Owned by Each Reporting Person 1,091,652
- 10. Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares o

0

- 11. Percent of Class Represented by Amount in Row 9 6.8% (3)
- 12. Type of Reporting Person IN

⁽¹⁾ Consists of: (i) 1,071,652 shares of common stock held directly by Jack W. Schuler, and (ii) 20,000 shares of common stock held by the Jack W. Schuler Living Trust, over which Mr. Schuler is the sole trustee and may be deemed to have sole voting power.

⁽²⁾ Consists of: (i) 1,071,652 shares of common stock held directly by Jack W. Schuler, and (ii) 20,000 shares of common stock held by the Jack W. Schuler Living Trust, over which Mr. Schuler is the sole trustee and may be deemed to have sole dispositive power.

⁽³⁾ The percent of class was calculated based on 15,942,546 shares of common stock issued and outstanding as of November 13, 2015, as set forth in the Issuer s most recent 10-Q filed with the Securities and Exchange Commission on that date.

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1. Names of Reporting Persons
JACK W. SCHULER LIVING TRUST

- 2. Check the Appropriate Box if a Member of a Group
 - (a) 0 (b) x
- 3. SEC Use Only
- 4. Citizenship or Place of Organization ILLINOIS

5. Sole Voting Power 20,000 (1) Number of Shares 6. Shared Voting Power Beneficially Owned by Each 7. Sole Dispositive Power Reporting 20,000(2) Person With 8. Shared Dispositive Power

- 9. Aggregate Amount Beneficially Owned by Each Reporting Person 20,000
- 10. Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares o
- 11. Percent of Class Represented by Amount in Row 9 0.0% (3)
- 12. Type of Reporting Person IN

⁽¹⁾ Mr. Schuler is the sole trustee of the Jack W. Schuler Living Trust, and as such, Mr. Schuler may be deemed to have sole voting power over any shares held directly by the Jack W. Schuler Living Trust.

⁽²⁾ Mr. Schuler is the sole trustee of the Jack W. Schuler Living Trust, and as such, Mr. Schuler may be deemed to have sole dispositive power over any shares held directly by the Jack W. Schuler Living Trust.

⁽³⁾ The percent of class was calculated based on 15,942,546 shares of common stock issued and outstanding as of November 13, 2015, as set forth in the Issuer s most recent 10-Q filed with the Securities and Exchange Commission on that date.

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Item 1.Issuer	(a) (b)	Name of Issuer: Neos Therapeutics, Inc. (the Issuer) Address of Issuer s Principal Executive Offices: 2940 N. Highway 360
Item 2.Filing Per	rson (a) (c)	Grand Prairie, TX 75050 Name of Persons Filing; Address; Citizenship:
	(d) (e)	 (i) Jack W. Schuler; 100 N Field Drive, Suite 360, Lake Forest, IL 60045; United States (ii) Jack W. Schuler Living Trust; 100 N Field Drive, Suite 360, Lake Forest, IL 60045; Illinois Title of Class of Securities: Common stock, \$0.001 par value per share, (the Common Stock) CUSIP Number:
Item 3.	0	d pursuant to Rules 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a: Broker or dealer registered under section 15 of the Act;
(b) (c) (d) (e)	0 0 0	Bank as defined in section 3(a)(6) of the Act; Insurance company as defined in section 3(a)(19) of the Act; Investment company registered under section 8 of the Investment Company Act of 1940; An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
(f) (g)	0	An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F); A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G);
(h) (i)	0	A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813); A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of
(j) (k)	0	1940; A non-U.S. institution in accordance with Rule 240.13d 1(b)(1)(ii)(J); Group, in accordance with Rule 240.13d 1(b)(1)(ii)(K). If filing as a non-U.S. institution in accordance with Rule 240.13d 1(b)(1)(ii)(J),
	If filing as	a non-U.S. institution in accordance with §240.13d-1(b)(1)(ii)(J), please specify the type of

If filing as a non-U.S. institution in accordance with $\S240.13d-1(b)(1)(ii)(J)$, please specify the type of institution:

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Item 4. Ownership.

(a) Amount beneficially owned:

Jack W. Schuler: 1,091,652

Jack W. Schuler Living Trust: 20,000

(b) Percent of class:

Jack W. Schuler: 6.8%

Jack W. Schuler Living Trust: 0.0%

(c) Number of shares as to which the person has:

**See footnotes on cover page, which are incorporated by reference. **

a. Sole power to vote or to direct the vote:

Jack W. Schuler: 1,091,652

Jack W. Schuler Living Trust: 20,000

b. Share power to vote or to direct the vote:

Jack W. Schuler: 0

Jack W. Schuler Living Trust: 0

c. Sole power to dispose or to direct the disposition of:

Jack W. Schuler: 1,091,652

Jack W. Schuler Living Trust: 20,000

d. Shared power to dispose or to direct the disposition of:

Jack W. Schuler: 0

Jack W. Schuler Living Trust: 0

Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than 5 percent of the class of securities, check the following o.

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent

Holding Company or Control Person.

Not applicable.

Item 8. Identification and Classification of Members of the Group.

Not applicable.

Item 9. Notice of Dissolution of Group.

Not applicable.

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Item 10.	Certification. Not applicable.				
		SIGNATURE			
After reason and correct.	able inquiry and to the best of my knowledge	and belief, I certify that the inf	formation set forth in this statement is true, complete		
Date: Februa	ary 9, 2016				
		/s/ Jack W. Schuler Jack W. Schuler			
	JACK W. SCHULER LIVING TRUST				
		By: Jack W. Schuler Trustee	/s/ Jack W. Schuler		